



El Control Parcial de sistemas caóticos

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Grupo de Dinámica No Lineal, Teoría del Caos y Sistemas Complejos

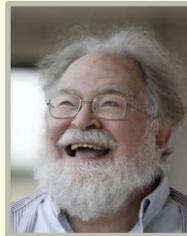
Universidad Rey Juan Carlos

Móstoles, 12 de diciembre de 2019

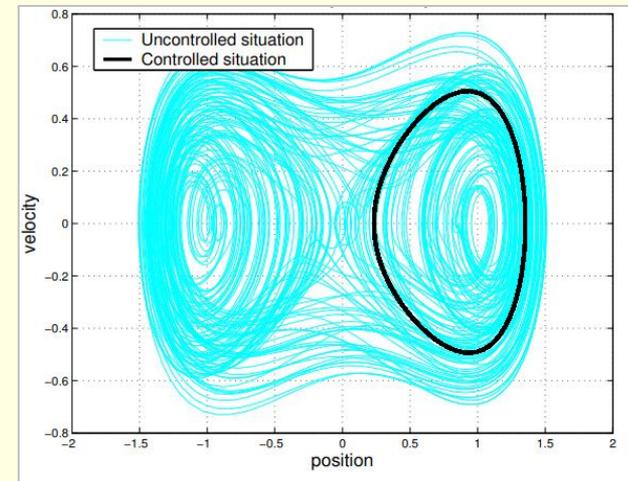
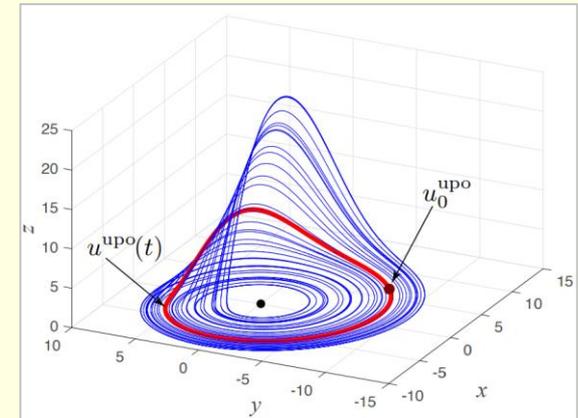
Chaotic motion to regular motion

How?

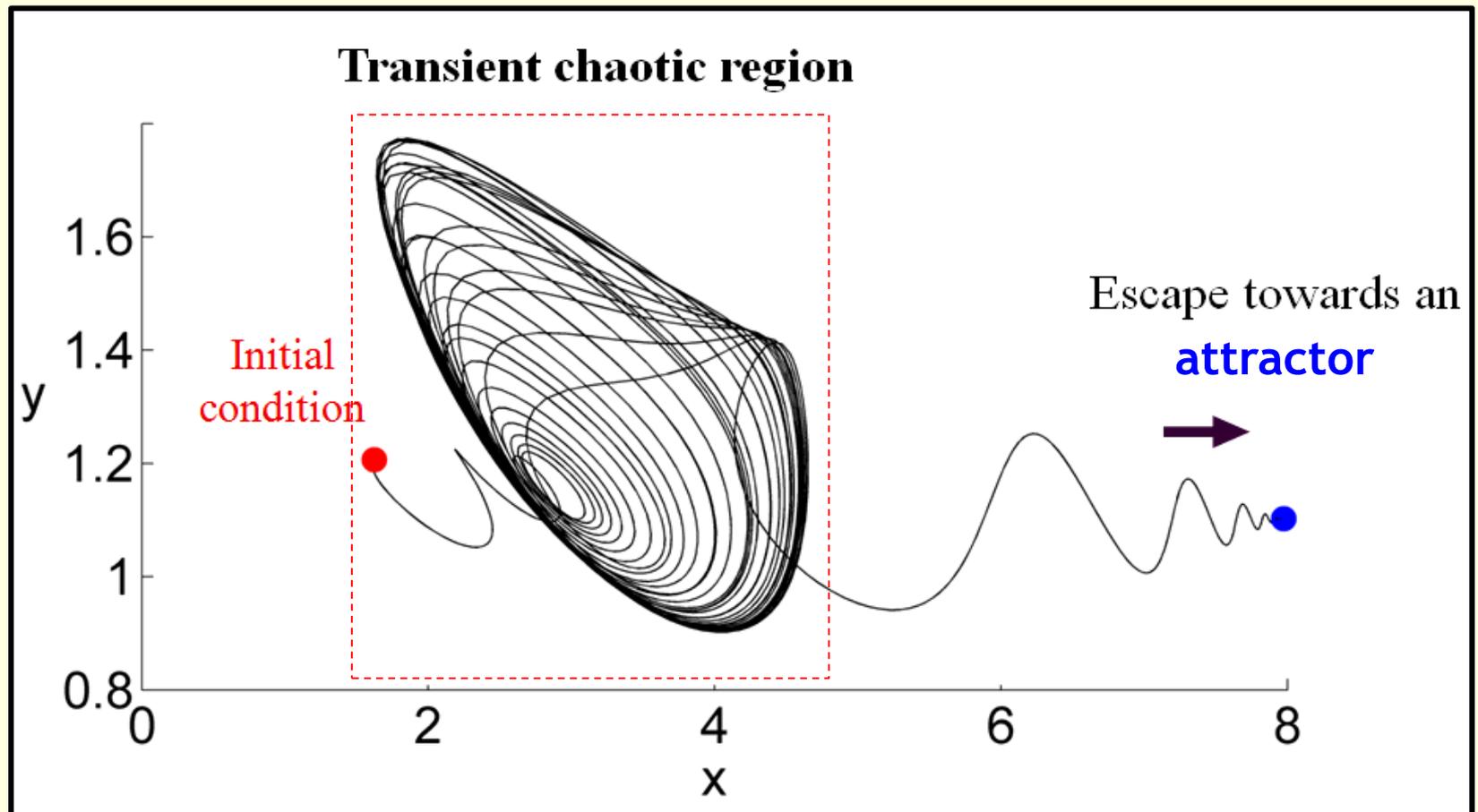
- *OGY method (1990)*



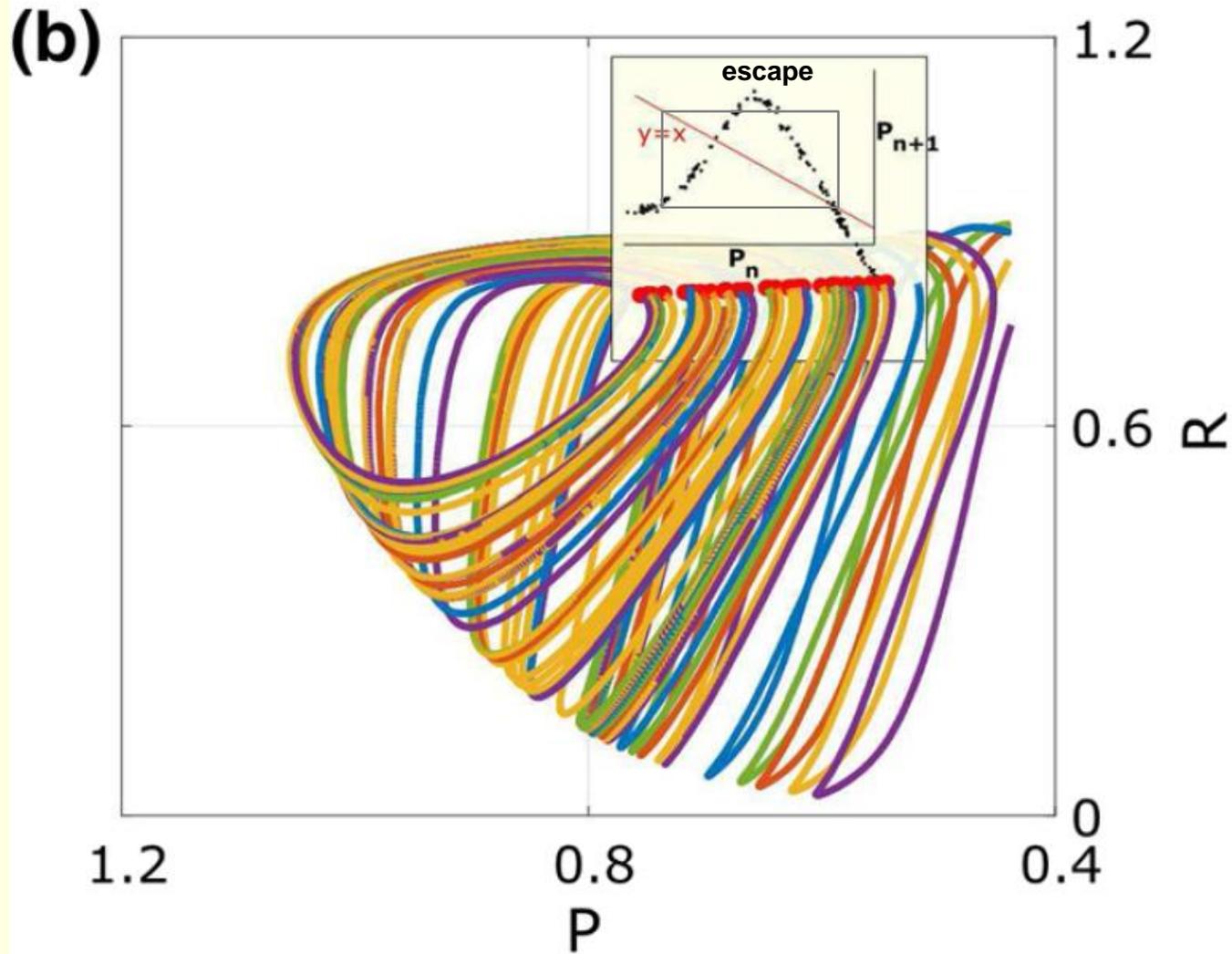
- *Delayed Feedback control (1992)*



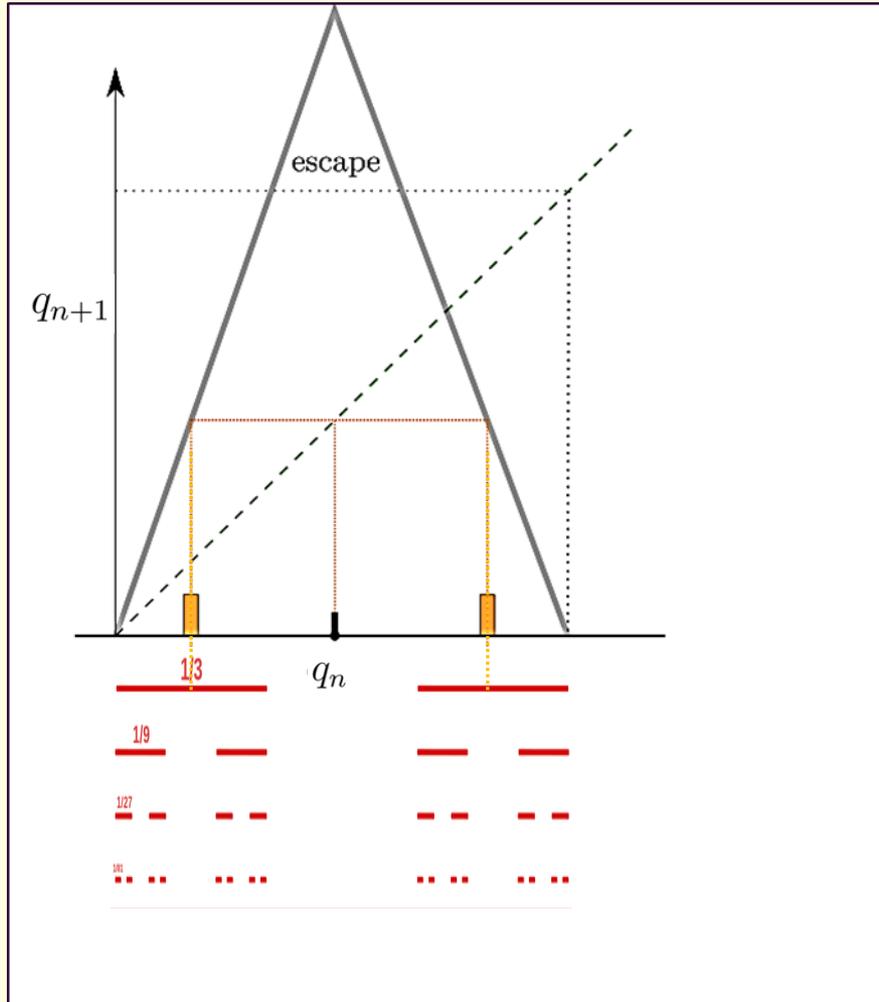
Transient chaos



Partial control fundamentals

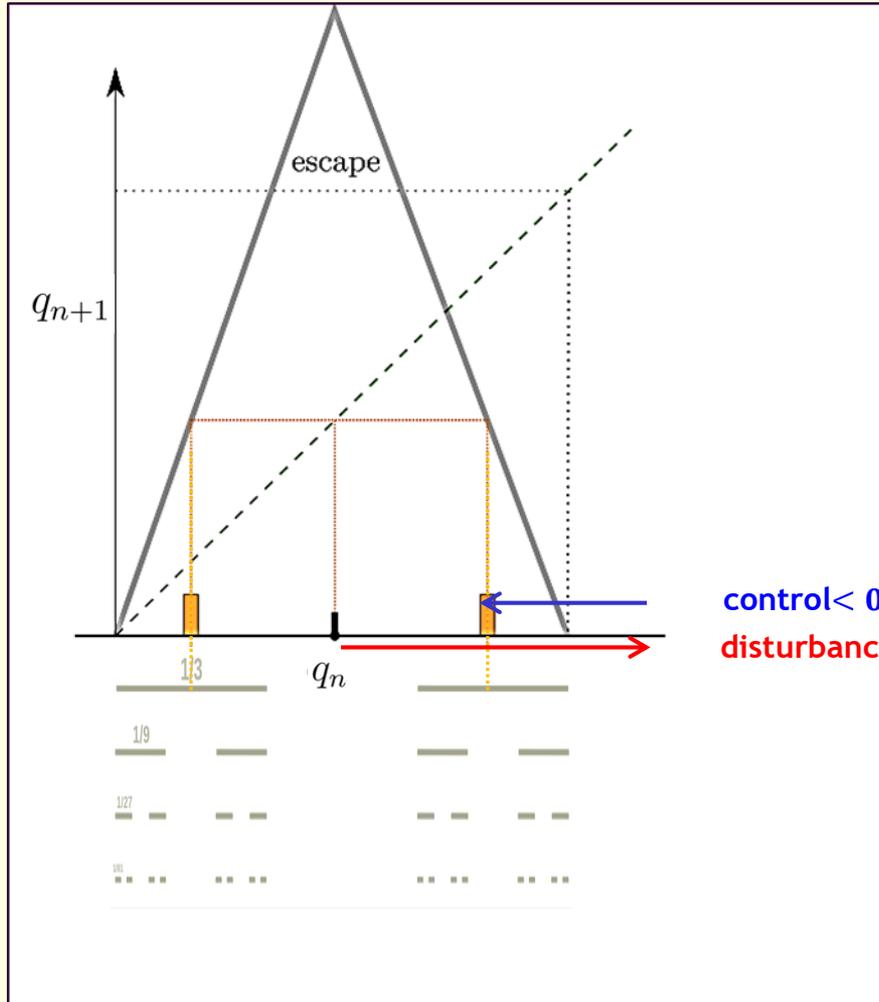


Partial control fundamentals



**Cantor set
of escapes**

Partial control fundamentals

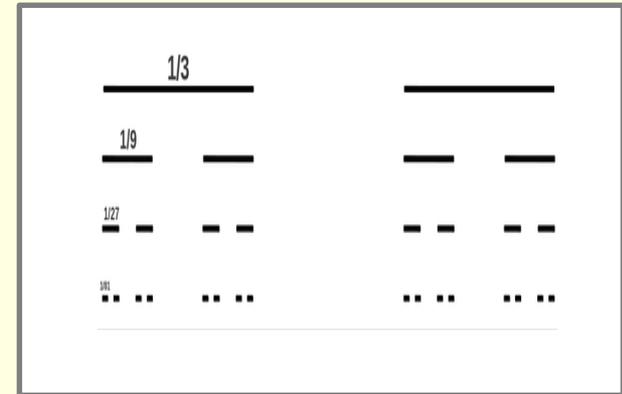
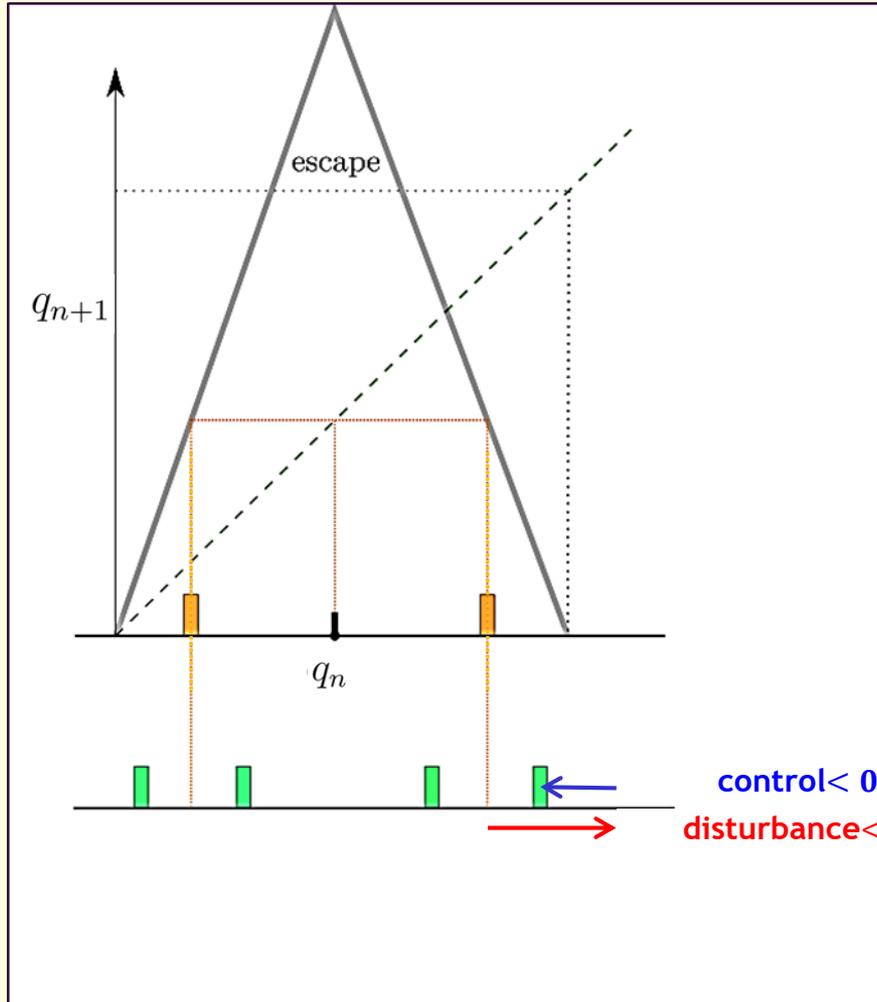


$$q_{n+1} = f(q_n) + \xi_n + u_n$$

\downarrow *disturbance* \downarrow *control*

control < 0.5
disturbance < 0.7

Partial control fundamentals



$$u_0 < \xi_0$$

Partial control algorithm

Dynamics in the map

Admissible trajectories

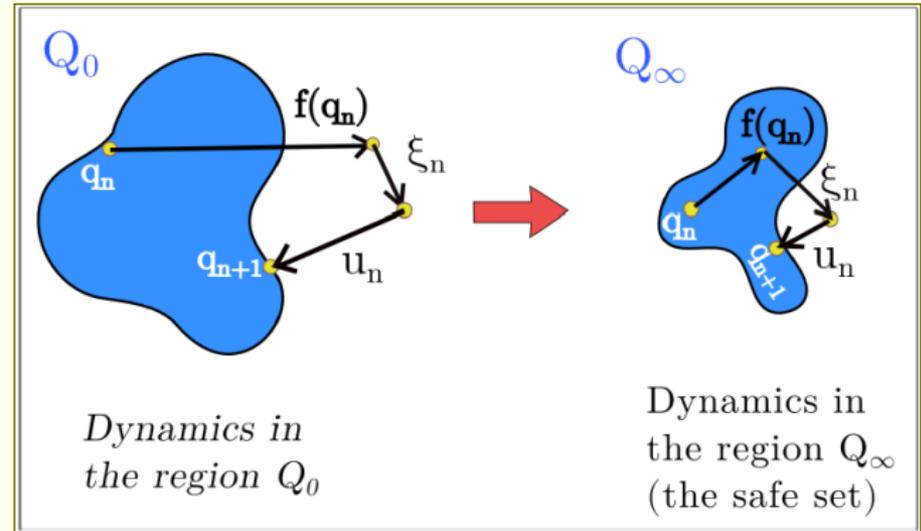
$$q_{n+1} = f(q_n) + \xi_n + u_n$$

disturbance

control

$$|\xi_n| \leq \xi_0$$

$$|u_n| \leq u_0$$



Algorithm to find the safe set.

**Controlled trajectories
in the safe set**

$$u_0 < \xi_0$$

Application to an ecological model



$$\frac{dR}{dt} = R \left(1 - \frac{R}{K} \right) - \frac{x_c y_c C R}{R + R_0}$$

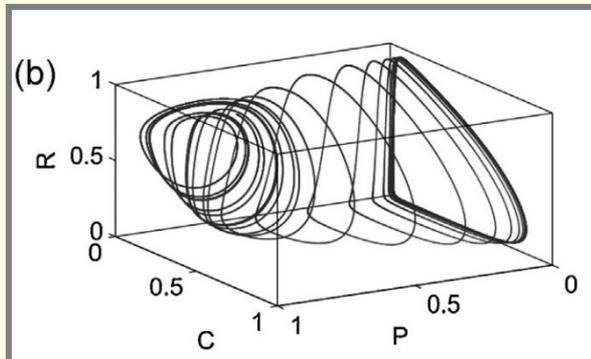
$$\frac{dC}{dt} = x_c C \left(\frac{y_c R}{R + R_0} - 1 \right) - \psi(P) \frac{y_p C}{C + C_0}$$

$$\frac{dP}{dt} = \psi(P) \frac{y_p C}{C + C_0} - x_p P.$$

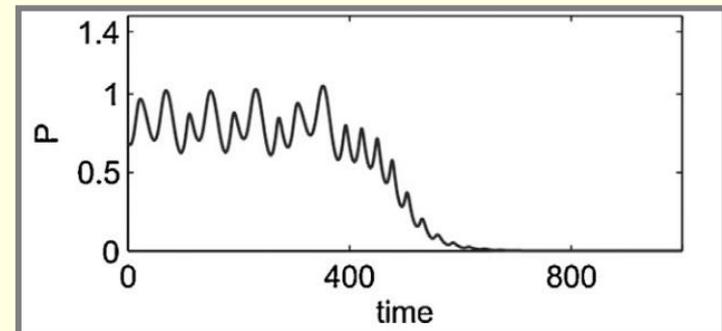
Duarte et al.

**Chaos 58, 863-883,
(2009)**

Chaotic transient leads to the predators extinction



Phase space

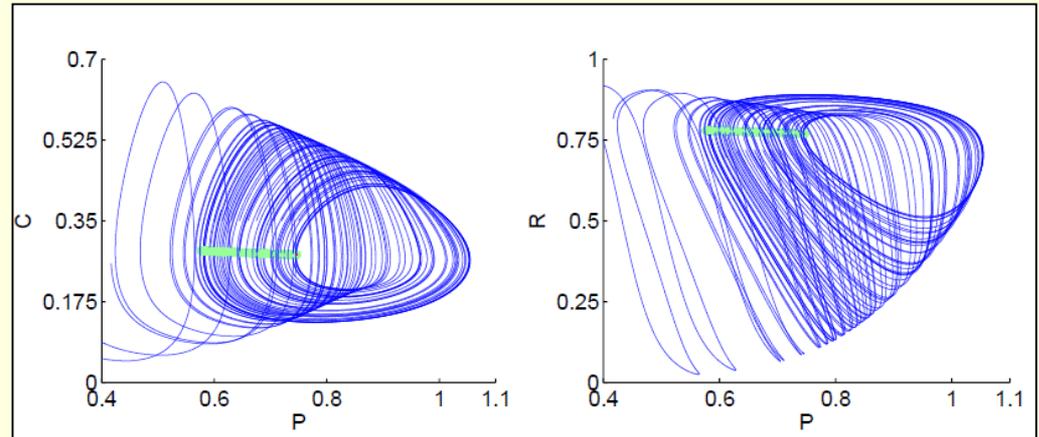


Time series of P

Application to an ecological model

Set of minima of P

$$P_{n+1} = f(P_n)$$

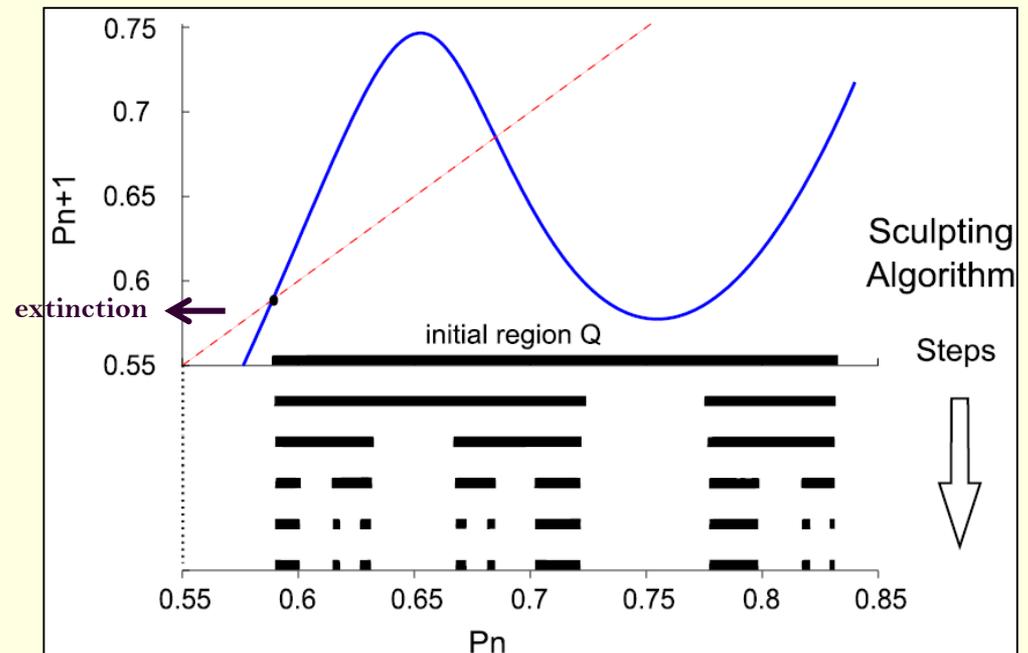


Return Map and the Safe Set

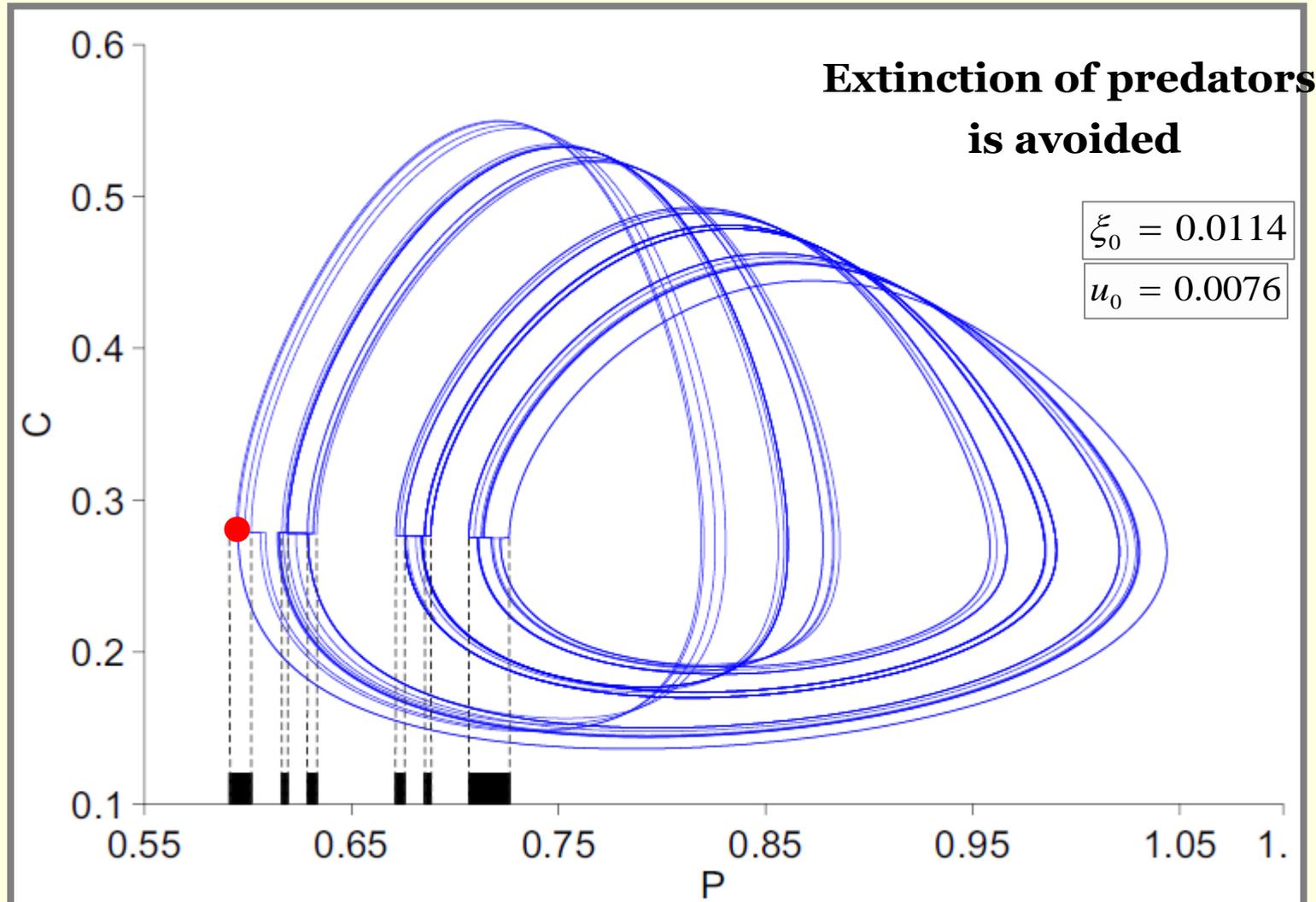
$$P_{n+1} = f(P_n) + \xi_n + u_n$$

$$\xi_0 = 0.0114$$

$$u_0 = 0.0076$$



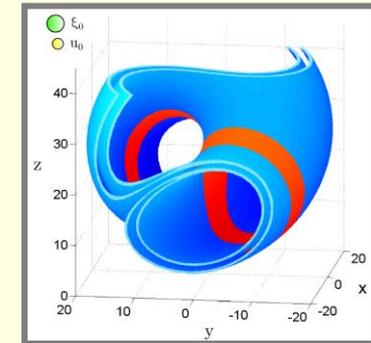
Application to an ecological model



Partial control development

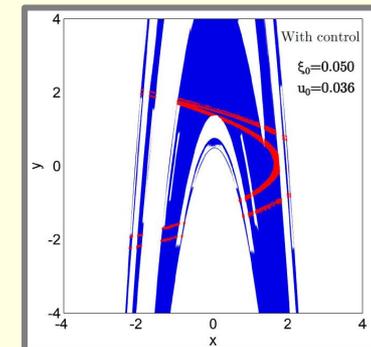
3D safe set

$$q_{n+1} = f(q_n) + \xi_n + u_n$$



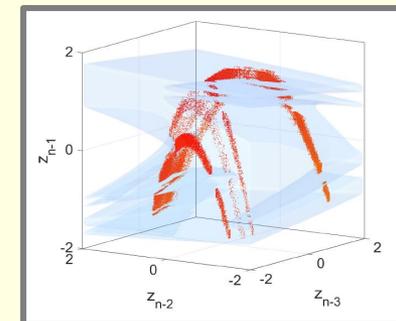
Parametric partial control

$$q_{n+1} = f(q_n, p + \xi_n + u_n)$$

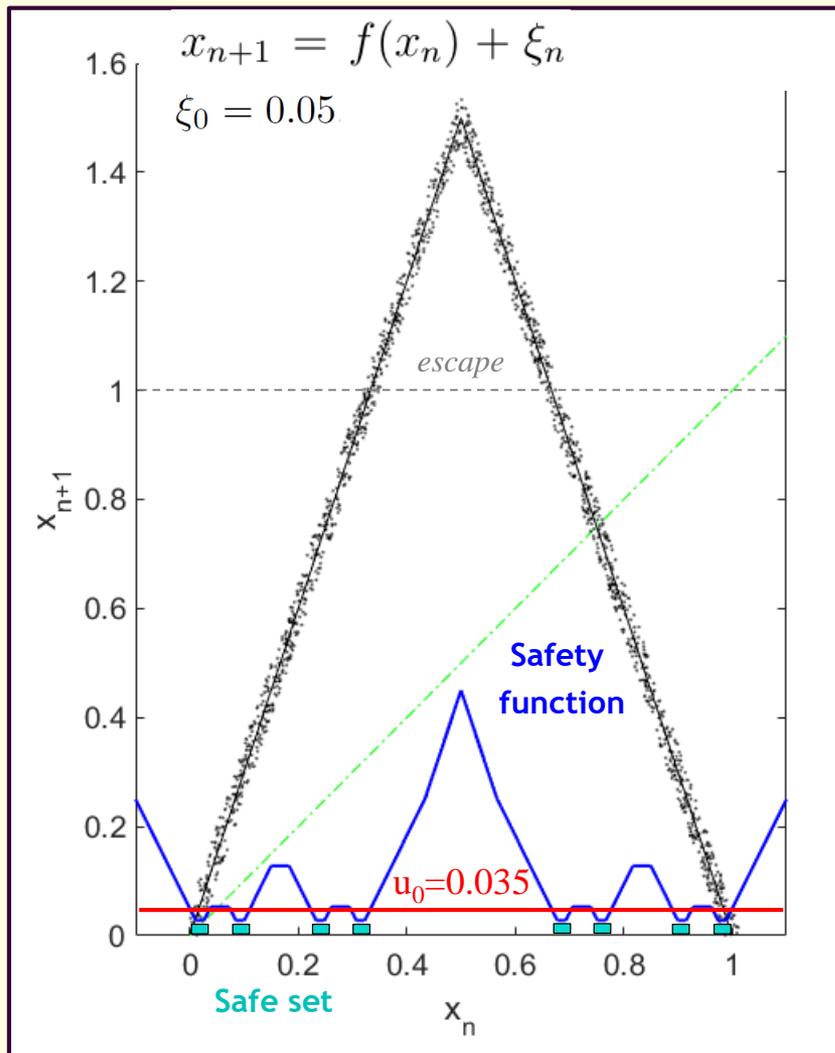


Time-delay partial control

$$x_n = f(x_{n-1}, x_{n-2}, \dots) + \xi_n + u_n$$

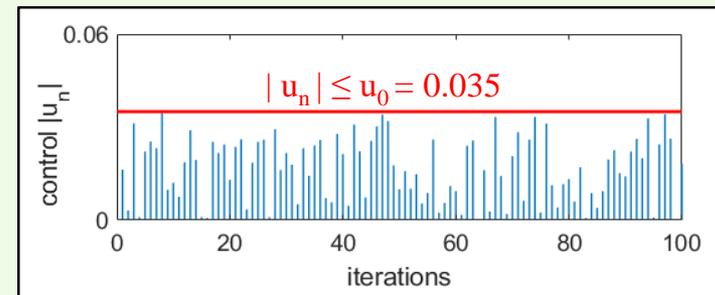
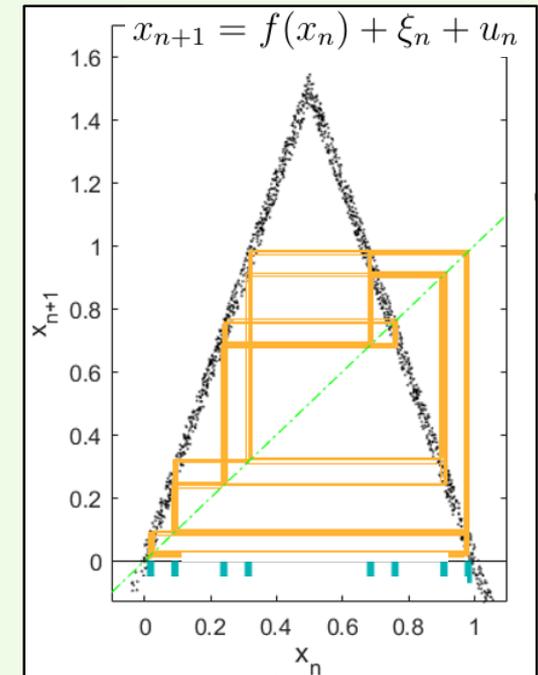


The safety function and the safe set



$$Q = [-0.1, 1.1]$$

Controlled trajectory

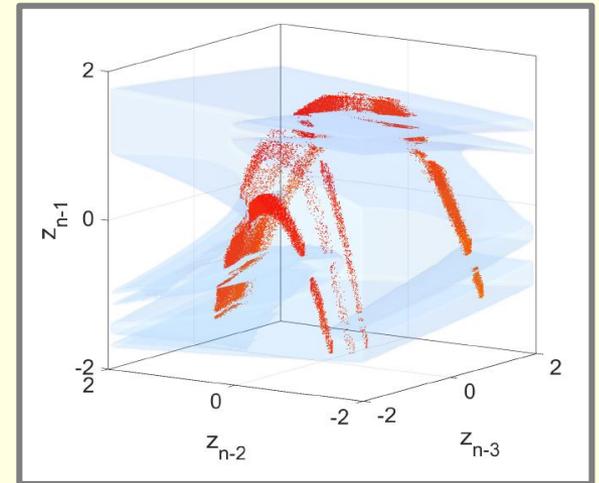


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- **2008** S. Zambrano, M.A.F. Sanjuán and J.A. Yorke. *Partial control of chaotic systems*. Phys. Rev. E 77, 055201(R).
- **2009** S. Zambrano and M.A.F. Sanjuán. *Exploring partial control of chaotic systems*. Phys. Rev. E 79, 026217.
- **2010** J. Sabuco, S. Zambrano and M.A.F. Sanjuán. *Partial control of chaotic transients using escape times*. New J. Phys. 12, 113038.
- **2012** J. Sabuco, S. Zambrano, M.A.F. Sanjuán and J.A. Yorke. *Finding safety in partially controllable chaotic systems*. Commun. Nonlinear. Sci. Numer. Simul. 17, 4274-4280, (2012).
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- **2014** R. Capeáns, J. Sabuco and M.A.F. Sanjuán. *When less is more: Partial control to avoid extinction of predators in an ecological model*. Ecol. Complex. 19, 1-8.
- **2014** A.G. López, J. Sabuco, J.M. Seoane, J. Duarte, C. Januário and M.A.F. Sanjuán. *Avoiding healthy cells extinction in a cancer model*. J. Theor. Biol. 349, 74-81.
- **2015** S. Das and J.A. Yorke. *Avoiding extremes using partial control*. J. Differ. Equations Appl. 22, 217-234.
- **2016** R. Capeáns, J. Sabuco and M.A.F. Sanjuán. *Parametric partial control of chaotic systems*. Nonlinear Dyn. 2, 869-876.
- **2016** S. Naik and S.D. Ross. *Geometric approaches in Phase Space Transport and Partial Control of Escaping Dynamics*. PhD Thesis. Virginia. Tech University.
- **2017** R. Capeáns, J. Sabuco, M.A.F. Sanjuán and J.A. Yorke. *Partially controlling transient chaos in the Lorenz equations*. Phil. Trans. R. Soc. A 375, 2088.
- **2017** A. Levi, J. Sabuco and M.A.F. Sanjuán. *When the firm prevents the crash: Avoiding market collapse with partial control*. Plos One . 12(8).
- **2017** V. Agarwal, J. Sabuco and B. Balachandran. *Safe regions with partial control of a chaotic system in the presence of white Gaussian noise*. IJBC 94, 3-1.
- **2018** R. Capeáns, J. Sabuco, and M.A. F. Sanjuán. *Partial control of delay-coordinate maps*. Nonlinear Dynamics 92, 1419-1429.
- **2019** R. Capeáns, J. Sabuco, and M.A. F. Sanjuán. *A new approach of the partial control method in chaotic systems*. Nonlinear Dynamics 98, 873-887.



$$x_n = f(x_{n-1}, x_{n-2}, \dots) + \xi_n + u_n$$

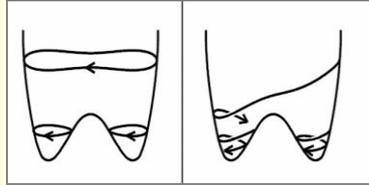


$$q[j] = f(q[i], \xi[s]) + u[i, s, j]$$

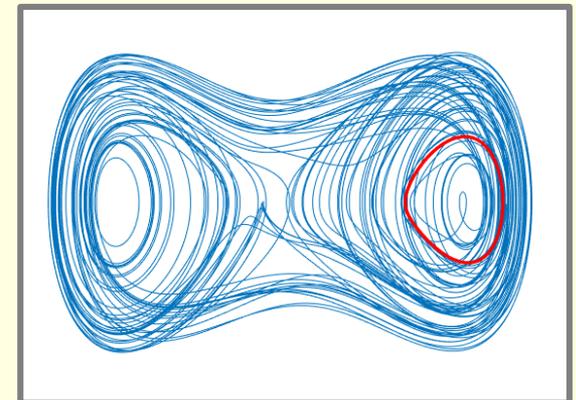
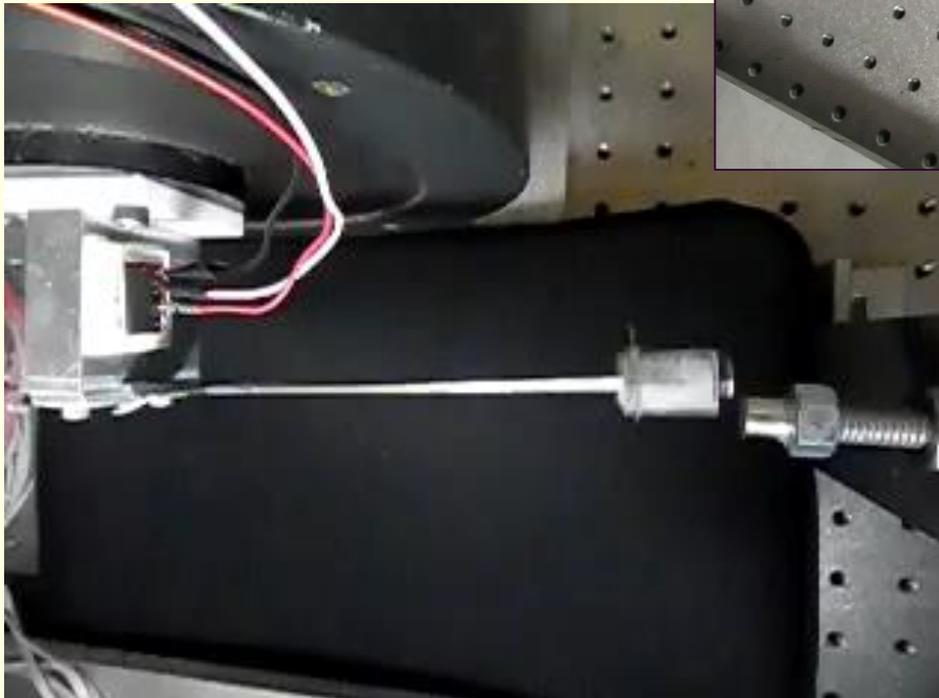
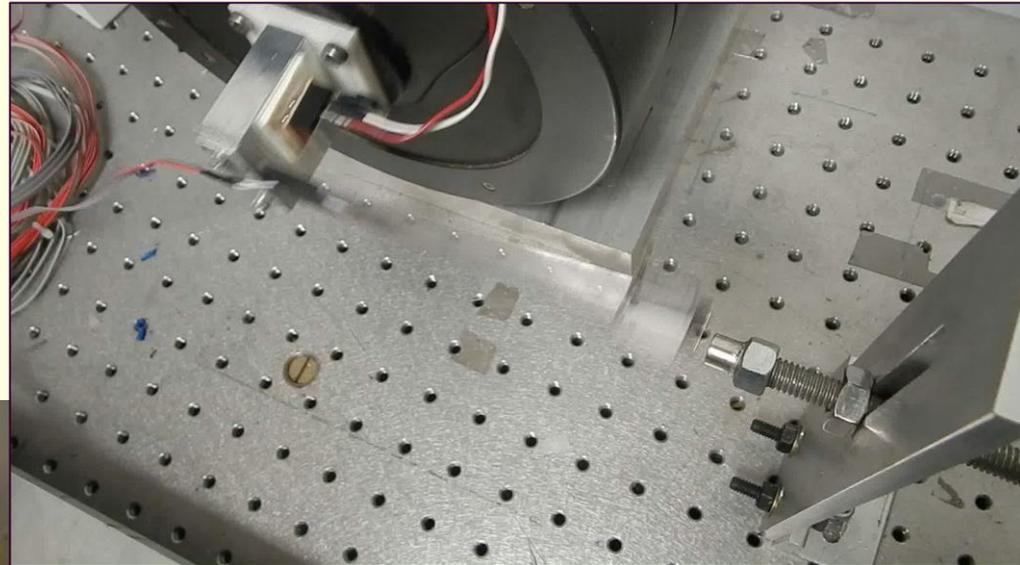
$$U_{k+1}[i] = \max_{1 \leq s \leq M_i} \left(\min_{1 \leq j \leq N} \left(\max(u[i, s, j], U_k[j]) \right) \right)$$

Application to the Duffing oscillator

Duffing
oscillator

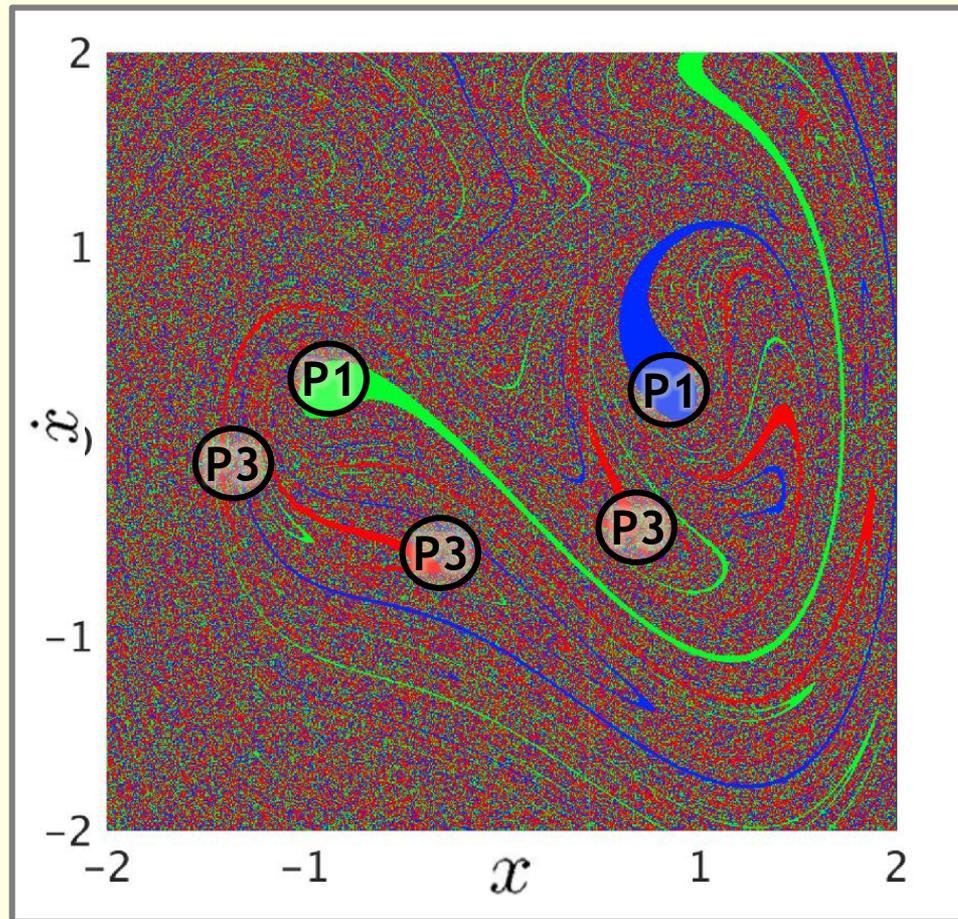


$$\ddot{x} + \delta\dot{x} + \alpha x + \beta x^3 = F \cos(\omega t)$$

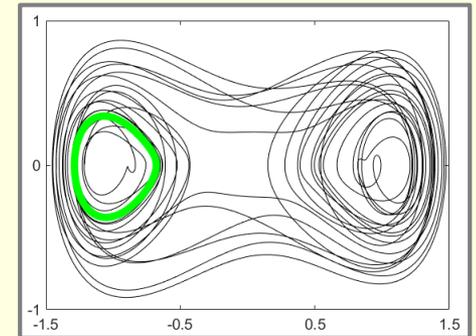


Application to the Duffing oscillator

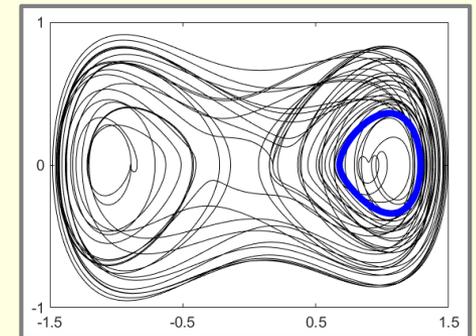
$$\ddot{x} + 0.15\dot{x} - x + x^3 = 0.245\sin(t)$$



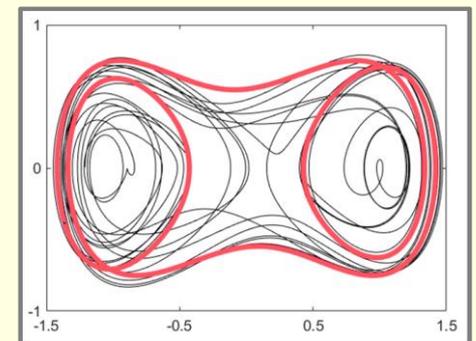
P1



P1



P3



Application to the Duffing oscillator

$$\ddot{x} + 0.15\dot{x} - x + x^3 = 0.245\sin(t)$$

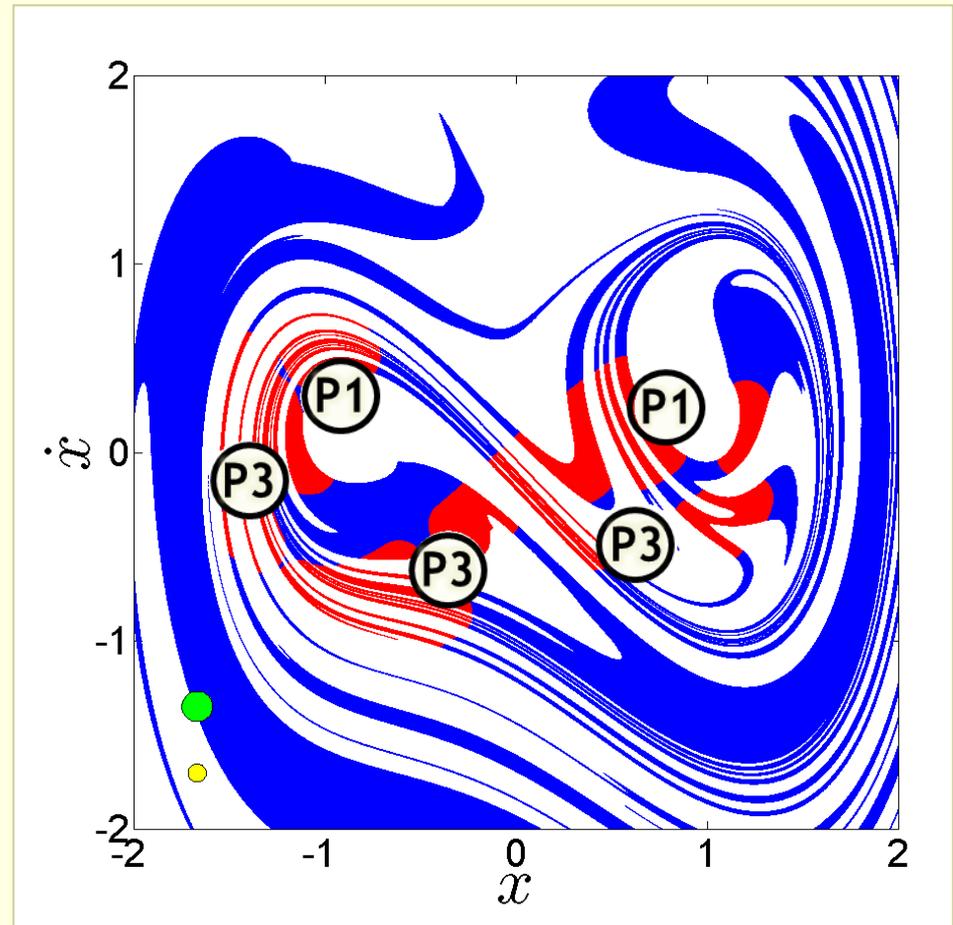
Goal:

*Avoid the periodic
attractors*

Safe set

$$\xi_0 = 0.08$$

$$u_0 = 0.0475$$



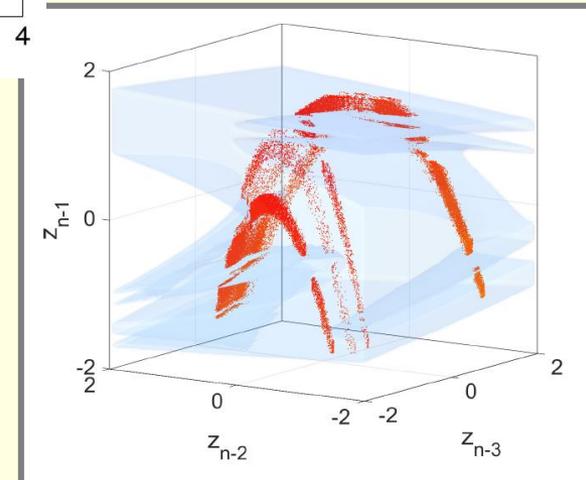
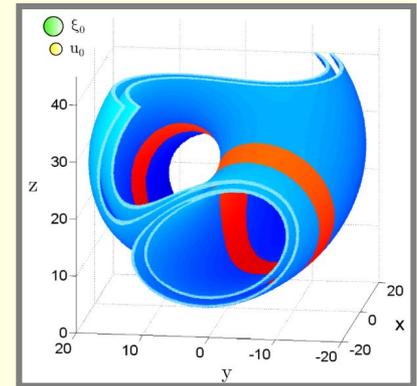
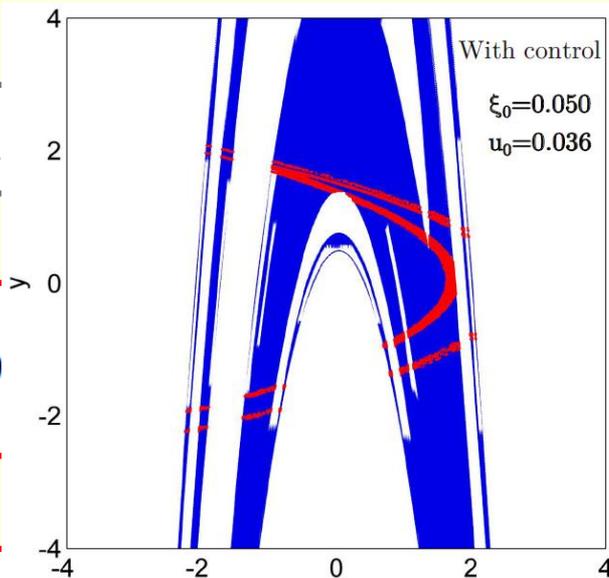
$$q_{n+1} = f(q_n) + \xi_n$$

$$q_{n+1} = f(q_n, p)$$

$$x_n = f(x_{n-1}, x_{n-2}, \dots, u_n)$$

$$q[j] = f(q[i], \xi[s]) + u[i, s, j]$$

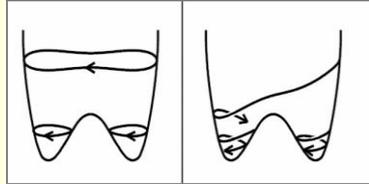
$$U_{k+1}[i] = \max_{1 \leq s \leq M_i} \left(\min_{1 \leq j \leq N} \left(\max(u[i, s, j], U_k[j]) \right) \right)$$



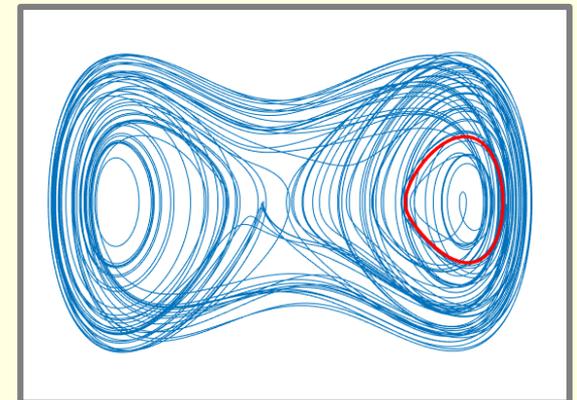
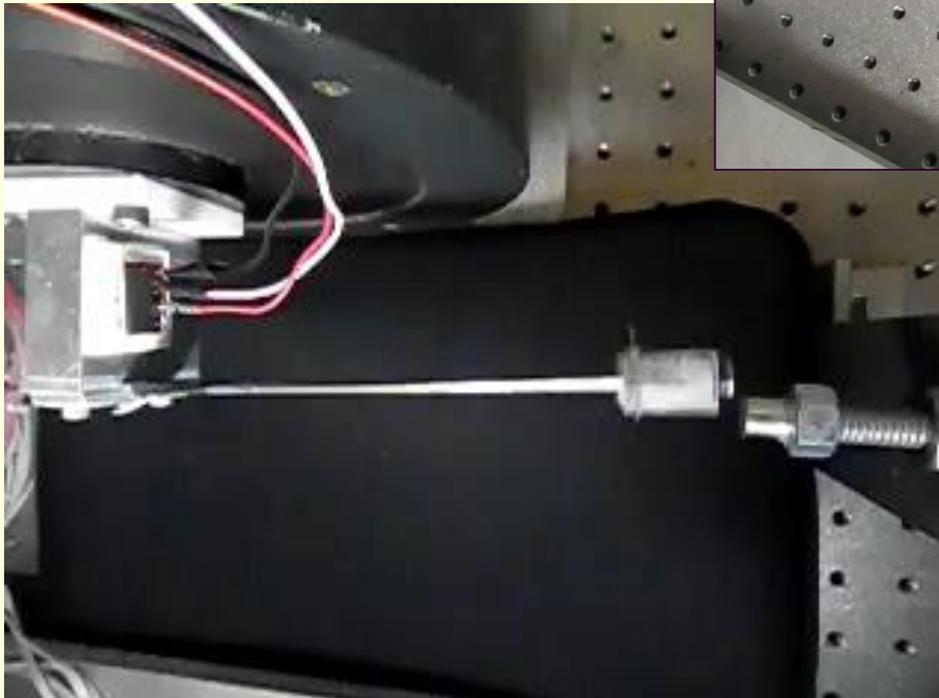
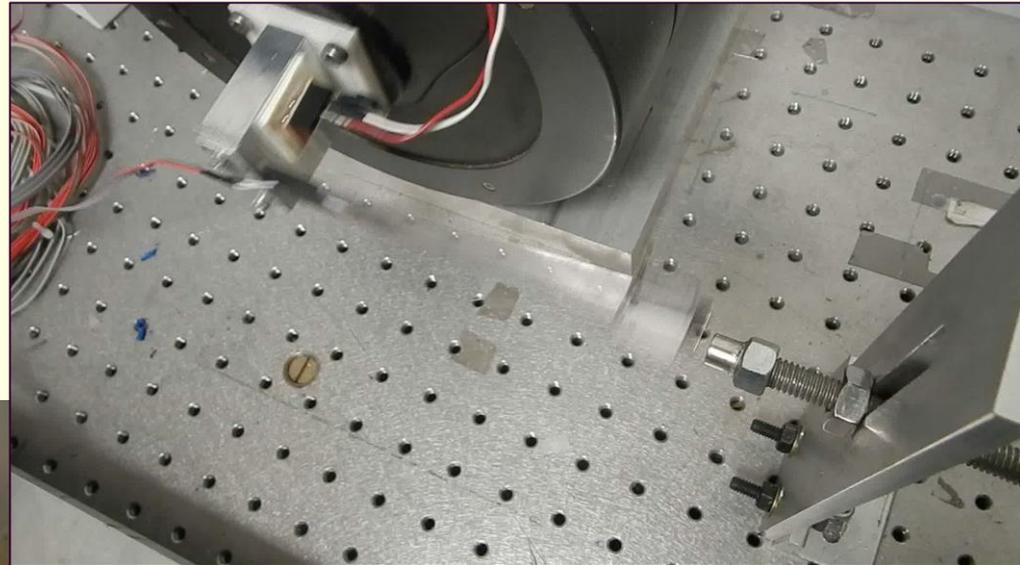
1- Introduction

Experimental transient chaos

Duffing
oscillator



$$\ddot{x} + \delta\dot{x} + \alpha x + \beta x^3 = F \cos(\omega t)$$



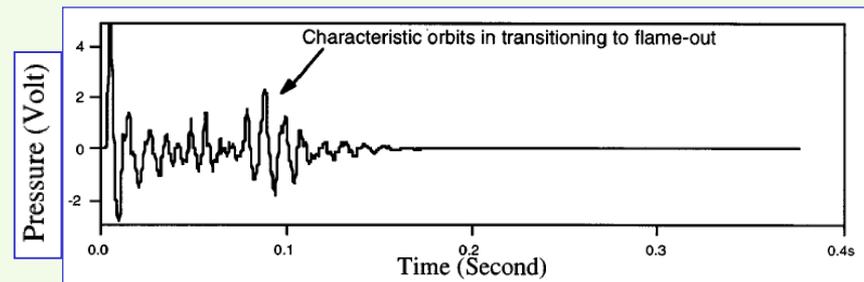
Transient chaos may involve an undesirable state

Visarath In, Mark L. Spano, Joseph D. Neff, William L. Ditto, C. Stuart Daw, K. Dean Edwards and Ke Nguyen. *Maintenance of chaos in a computational model of a thermal pulse combustor*. *Chaos* **7**, 605, 1997

$$\frac{d\tilde{P}}{dt} = \gamma \left\{ \frac{1}{\tau_f} + \frac{1}{\tau_{HT}} + \frac{1}{\tau_c} \right\} - \gamma \left\{ \frac{Z_e}{\rho_0} + \frac{1}{\tau_{HT}} \frac{T_0}{T_w} \right\} \tilde{T}.$$

$$\frac{d\tilde{T}}{dt} = \gamma \left\{ \frac{1}{\tau_f} + \frac{1}{\tau_{HT}} + \frac{1}{\tau_c} \right\} \frac{\tilde{T}}{\tilde{P}} - \left\{ (\gamma - 1) \frac{Z_e}{\rho_0} + \frac{1}{\tau_f} + \frac{\gamma T_0}{\tau_{HT} T_w} \right\} \frac{\tilde{T}^2}{\tilde{P}}$$

Flame out in a thermal pulse combustor



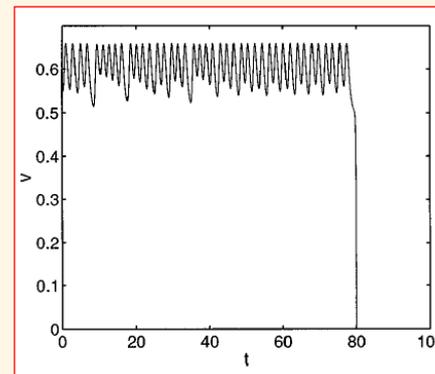
M. Dhamala and Y.C. Lai. *Controlling transient chaos in deterministic flows with applications to electrical power systems and ecology*. *Phys. Rev. E* **59**, 1646, 1999

$$\dot{\delta}_m = \omega,$$

$$M\dot{\omega} = -d_m\omega + P_m - E_m V Y_m \sin(\delta_m - \delta),$$

$$K_{qw}\dot{\delta} = -K_{qv}V^2 - K_{qv}V + Q(\delta_m, \delta, V) - Q_0 - Q_1,$$

$$TK_{qw}K_{pv}\dot{V} = K_{pw}K_{qv}V^2 + (K_{pw}K_{qv} - K_{qw}K_{pv})V + K_{qw}[P(\delta_m, \delta, V) - P_0 - P_1] - K_{pw}[Q(\delta_m, \delta, V) - Q_0 - Q_1].$$



Electrical power system collapse

2- Description of the partial control method

Partial control algorithm

Dynamics in the map

Admissible trajectories

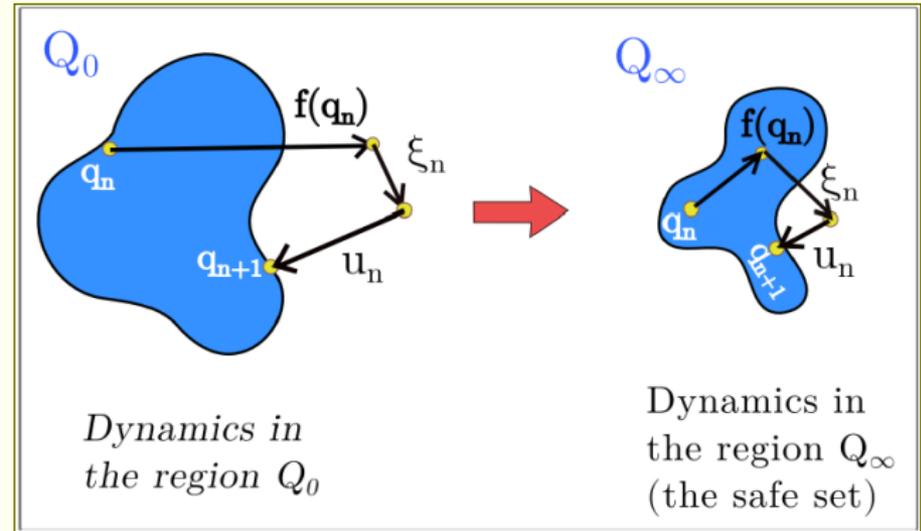
$$q_{n+1} = f(q_n) + \xi_n + u_n$$

disturbance

control

$$|\xi_n| \leq \xi_0$$

$$|u_n| \leq u_0$$



Algorithm to find the safe set.

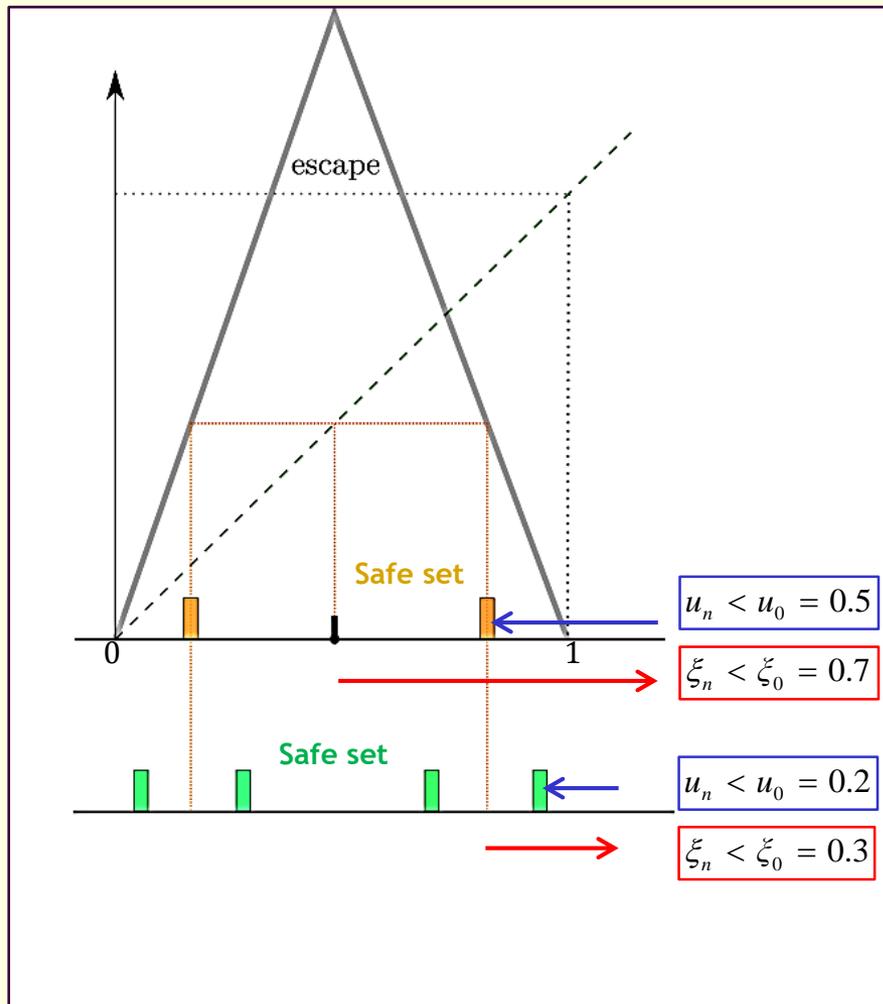
**Controlled trajectories
in the safe set**

$$u_0 < \xi_0$$

Partial control: control < disturbance

$$x_{n+1} = f(x_n) + \xi_n + u_n$$

$$Q_0 = [0, 1]$$



Cantor-like set of the escape preimages

$$u_0 < \xi_0$$

Big disturbance case

Small disturbance case

3- Partial control to avoid a species extinction

R. Capeáns, J. Sabuco and M.A.F. Sanjuán. [When less is more: Partial control to avoid extinction of predators in an ecological model.](#) *Ecological Complexity* **19**, 1-8 (2014).

An ecological model



$$\frac{dR}{dt} = R \left(1 - \frac{R}{K} \right) - \frac{x_c y_c C R}{R + R_0}$$

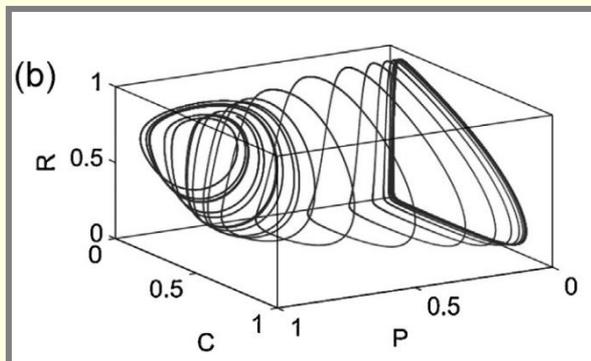
$$\frac{dC}{dt} = x_c C \left(\frac{y_c R}{R + R_0} - 1 \right) - \psi(P) \frac{y_p C}{C + C_0}$$

$$\frac{dP}{dt} = \psi(P) \frac{y_p C}{C + C_0} - x_p P.$$

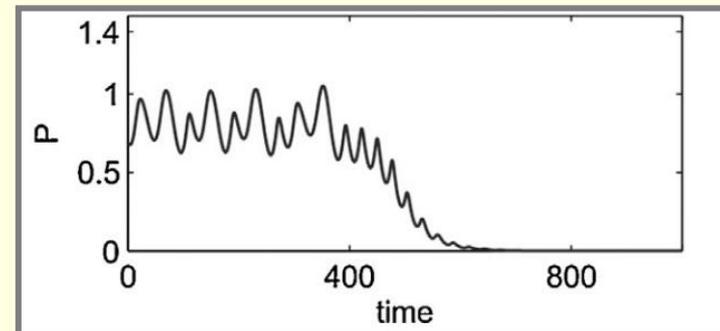
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Chaotic transient leads to the predators extinction



Phase space

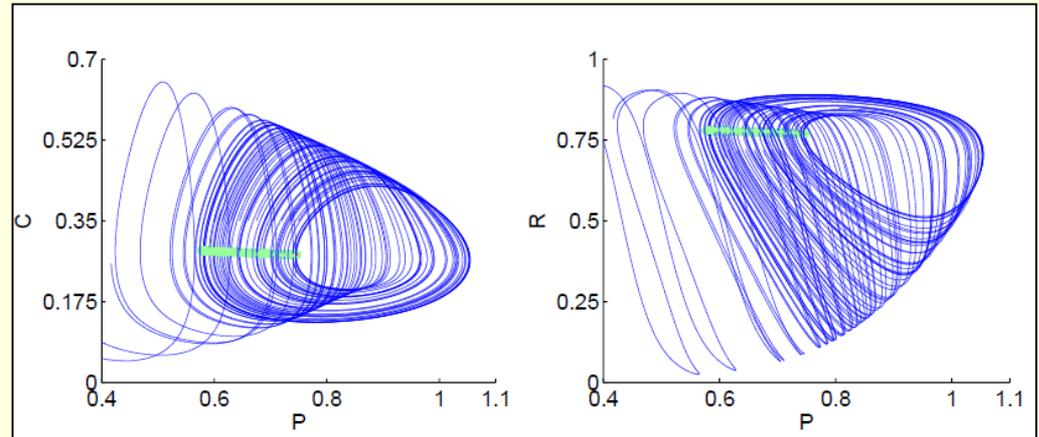


Time series of P

To build a map and implement the partial control

Set of minima of P

$$P_{n+1} = f(P_n)$$

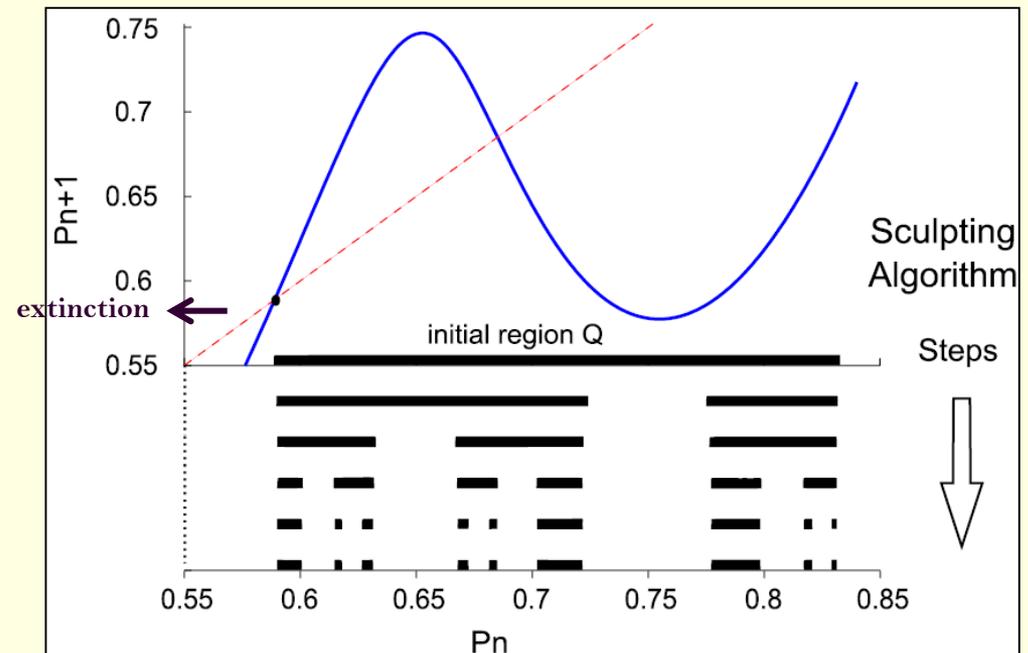


Return Map and
the Safe Set

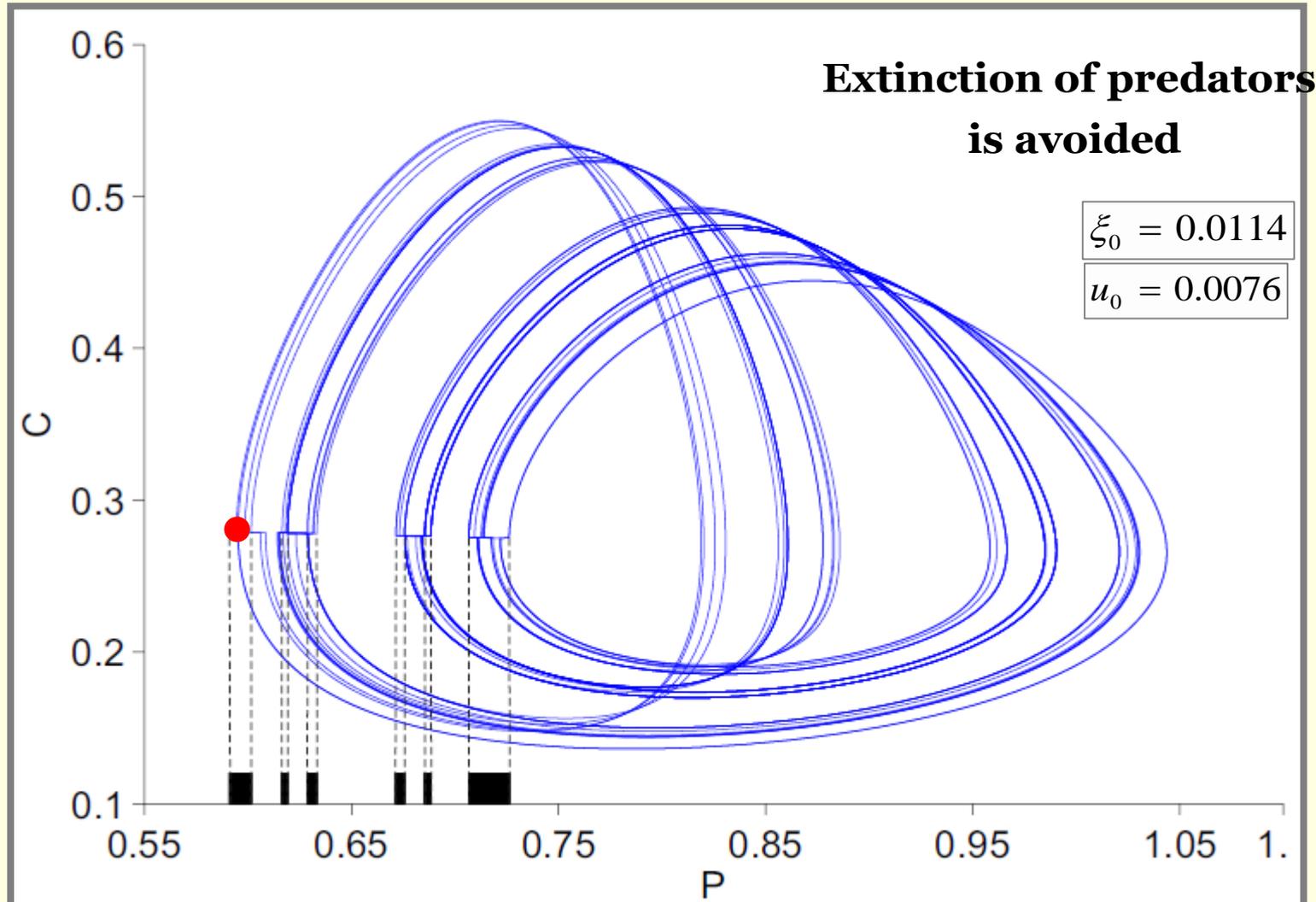
$$P_{n+1} = f(P_n) + \xi_n + u_n$$

$$\xi_0 = 0.0114$$

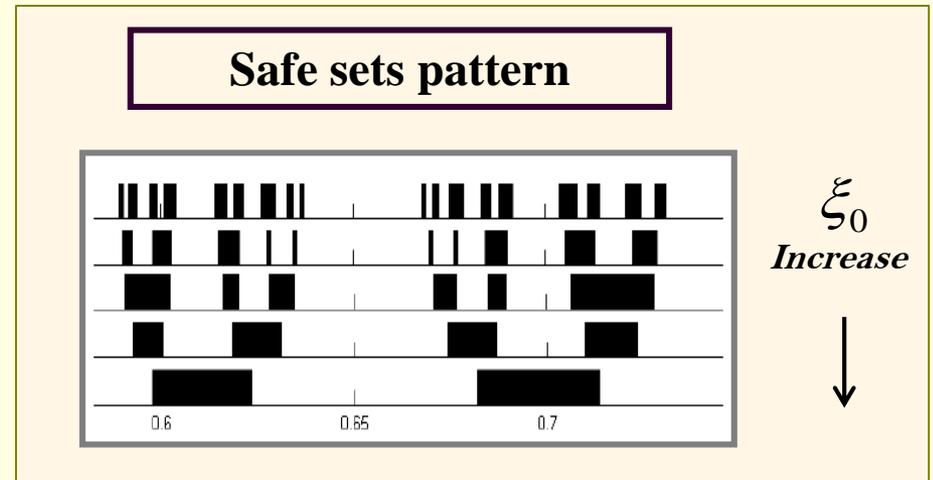
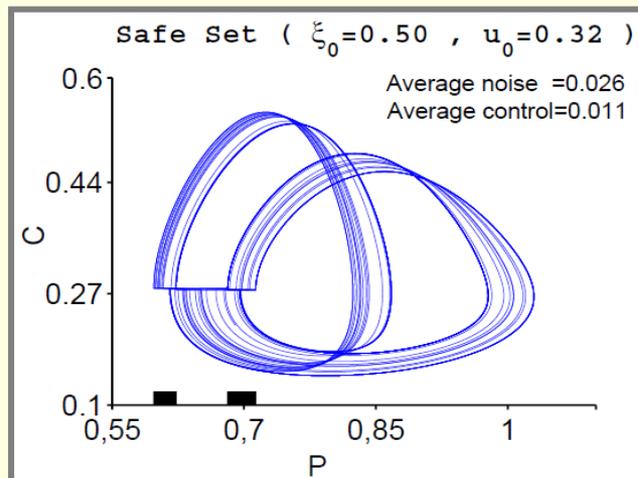
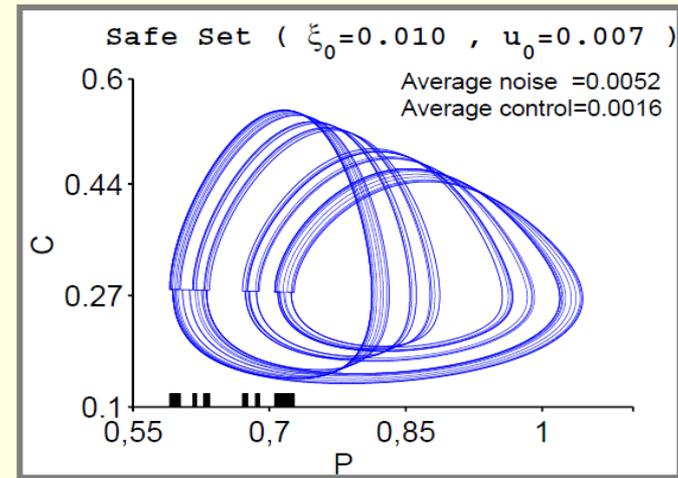
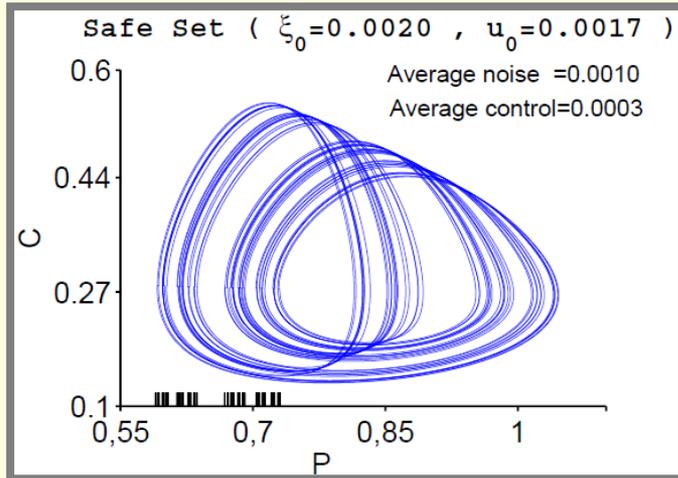
$$u_0 = 0.0076$$



Partial control in the phase space



Different disturbance and control



4- Controlling chaos in the Lorenz system

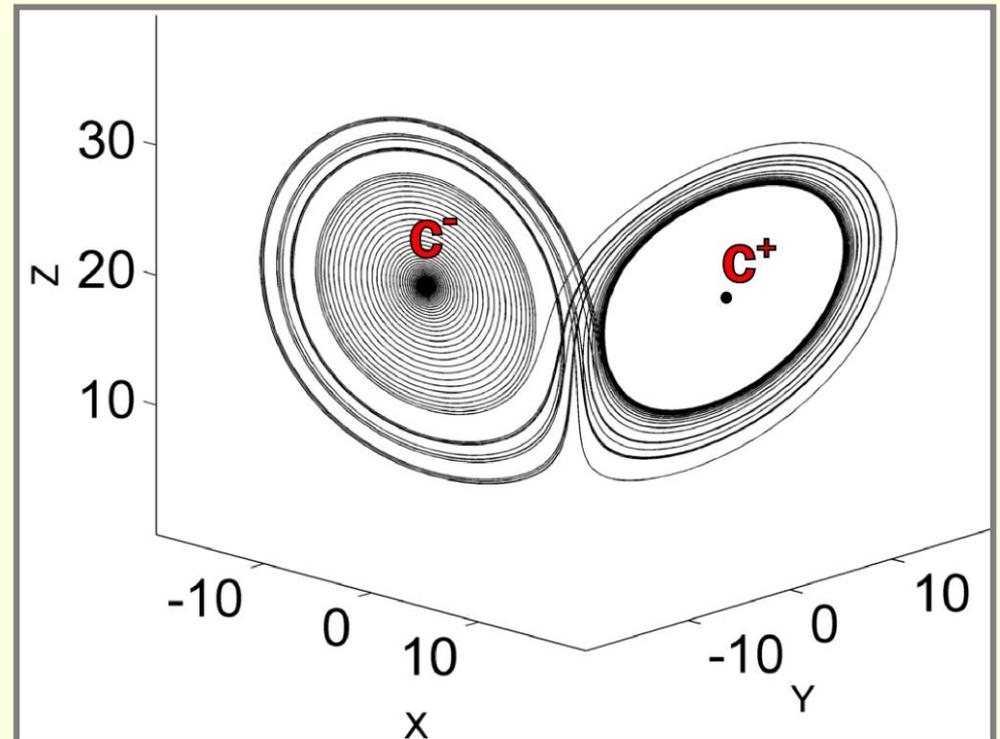
R. Capeáns, J. Sabuco, M. A. F. Sanjuán and J. A. Yorke. [Partially controlling transient chaos in the Lorenz equations](#). *Philosophical Transactions of the Royal Society A* **375**, 2088 (2017).

Transient chaos in the Lorenz system

$$\begin{aligned}\dot{x} &= -\sigma x + \sigma y \\ \dot{y} &= -xz + rx - y \\ \dot{z} &= xy - bz.\end{aligned}$$

$$\sigma=10 \quad b=8/3 \quad r=20$$

Transient chaos



Goal: avoid the attractors:

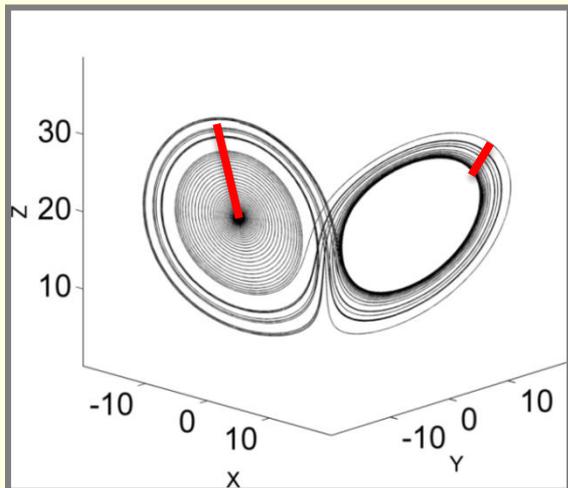
$$C^- = (-7.12, -7.12, 19)$$

$$C^+ = (7.12, 7.12, 19)$$

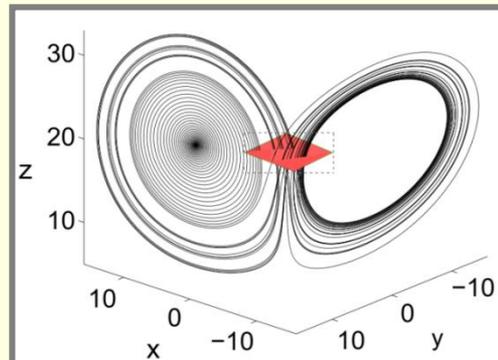
To build a map in the Lorenz system

Different ways to build a map : $q_{n+1} = f(q_n)$

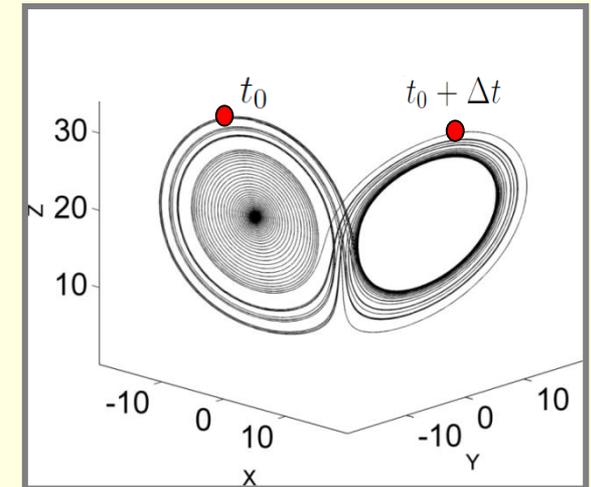
1D map with
maxima of z



2D map with
Poincaré section

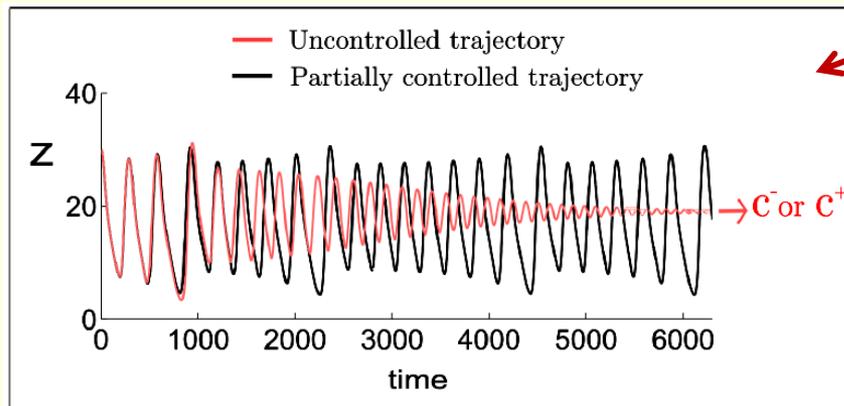
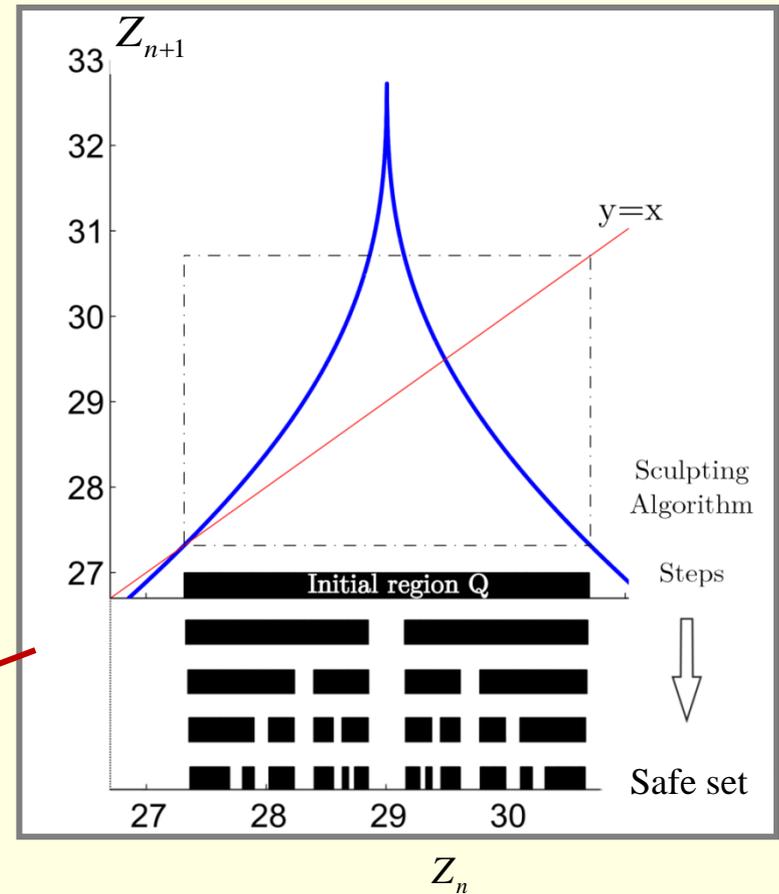
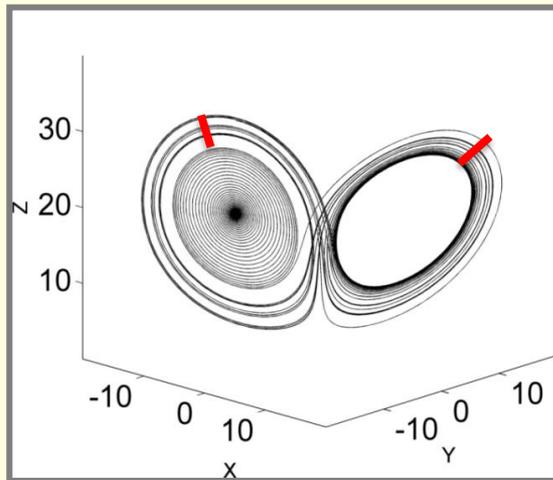


3D map with
 $\mathbf{x}(t_0) \rightarrow \mathbf{x}(t_0 + \Delta t)$



1D safe set

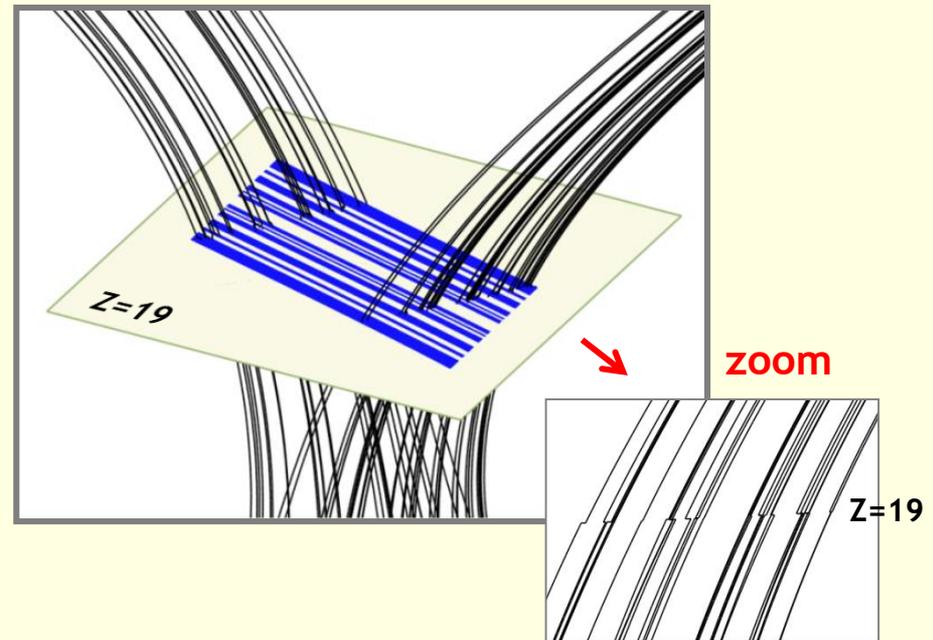
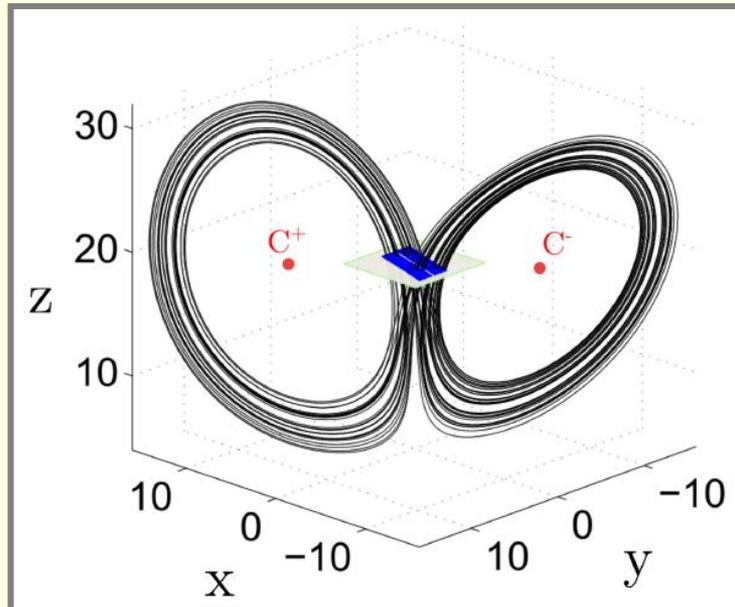
1D map with the maxima of Z



Disturbance $\xi_0 = 0.09$
Control $u_0 = 0.06$

Discretization with a Poincaré section

$$Q_0 \quad x \in [-3, 3] \quad y \in [-3, 3] \quad z = 19$$



$$\xi_0 = 0.09$$

$$u_0 = 0.06$$

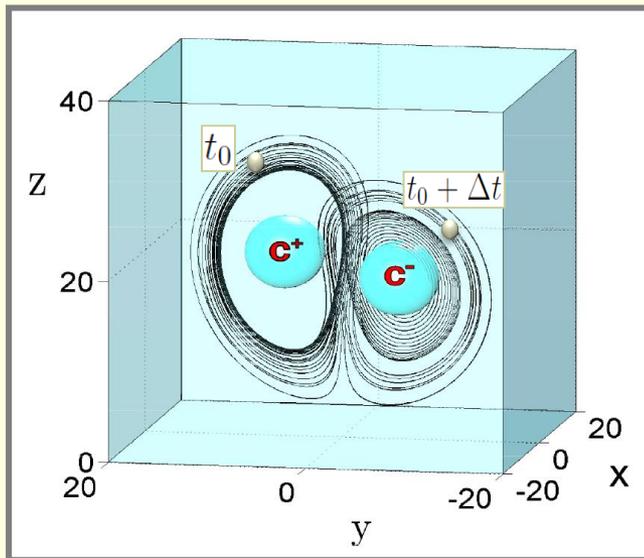
3D Map

$$X(t), Y(t), Z(t) \rightarrow X(t+\Delta t), Y(t+\Delta t), Z(t+\Delta t)$$

- Initial region Q is the cube

$$x \in [-20, 20] \quad y \in [-20, 20] \quad z \in [0, 40]$$

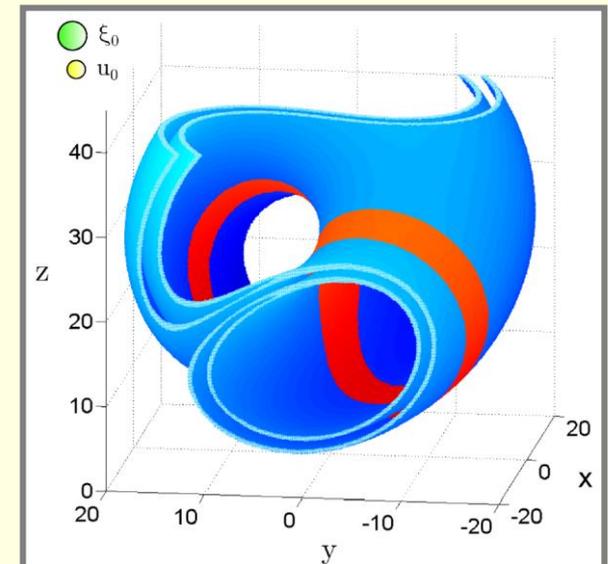
- Balls centered in C+ and C- (removed)



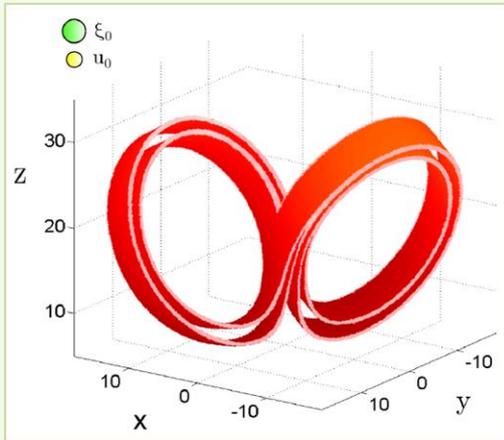
Safe set

Asymptotic safe set

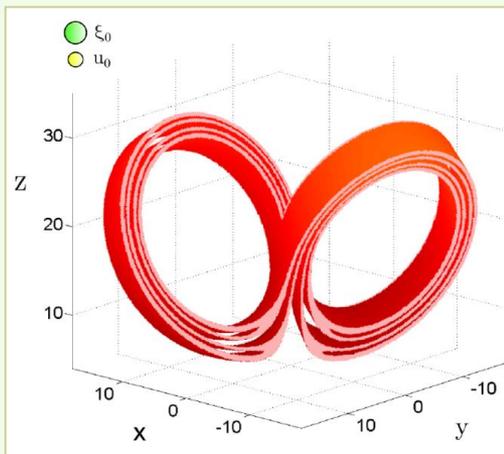
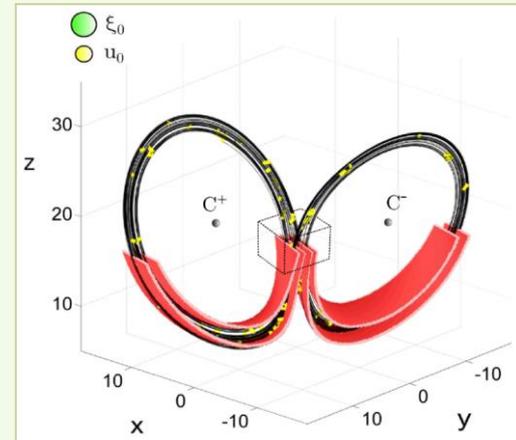
● $\xi_0 = 1.5$ ● $u_0 = 1.0$ $\Delta t = 1.2$



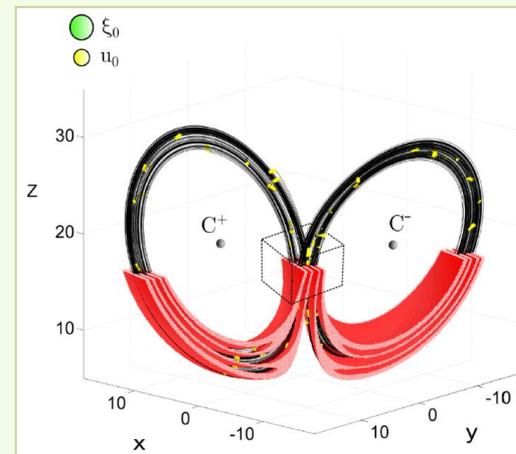
3D asymptotic safe set $u_0 = 1 \quad \xi_0 = 1.5$



$\Delta t = 1.2$



$\Delta t = 1.8$



5- A different application of partial control

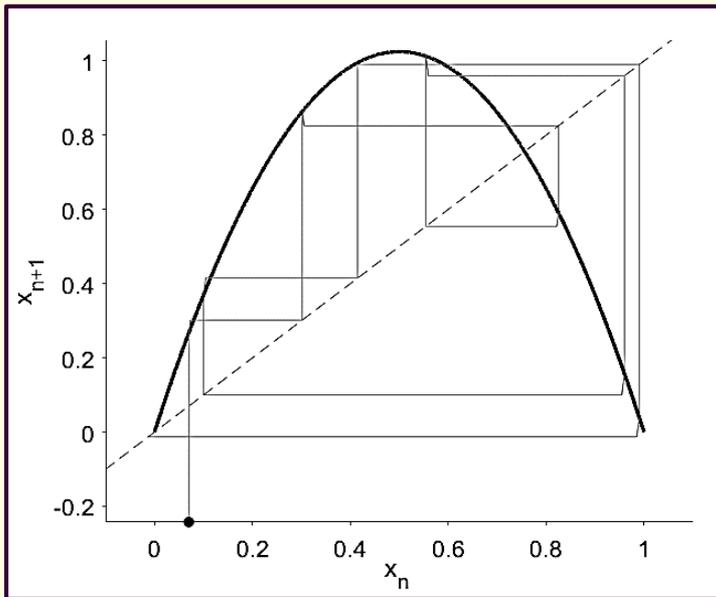
R. Capeáns, J. Sabuco and M. A. F. Sanjuán. [Escaping from a chaotic saddle in the presence of noise](#). *International Journal of Nonlinear Dynamics and Control* 1, 1-8, (2017).

Escape or not: the logistic map

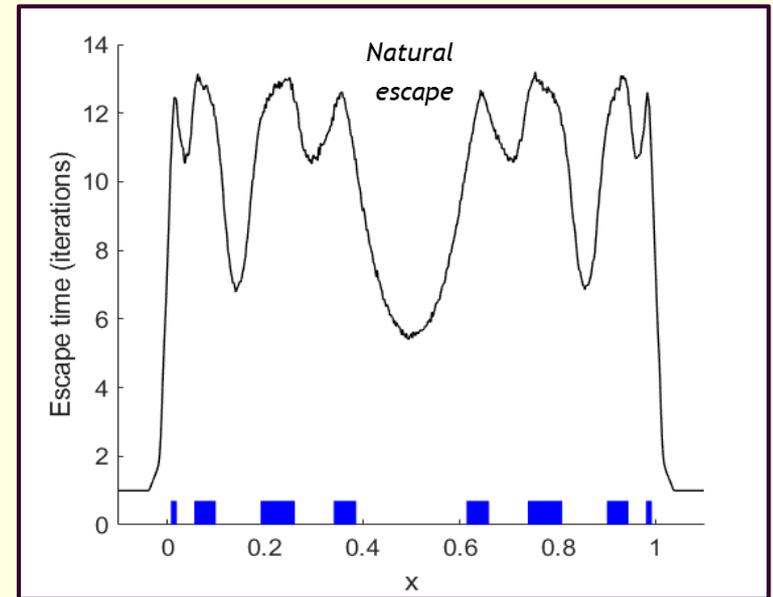
$$x_{n+1} = 4.1x_n(1 - x_n) + \xi_n$$

Escape from the **safe set** to
reduce the **escape time**?

Logistic map without control



Correlation: escape time and safe set



$$|\xi_n| \leq \xi_0 = 0.03$$

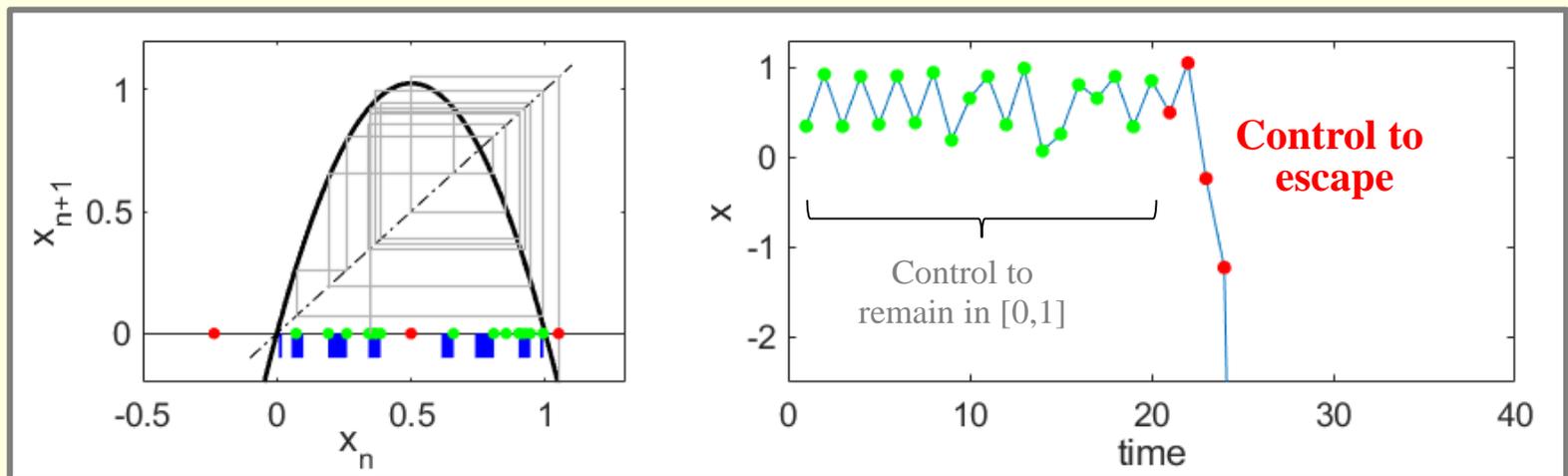
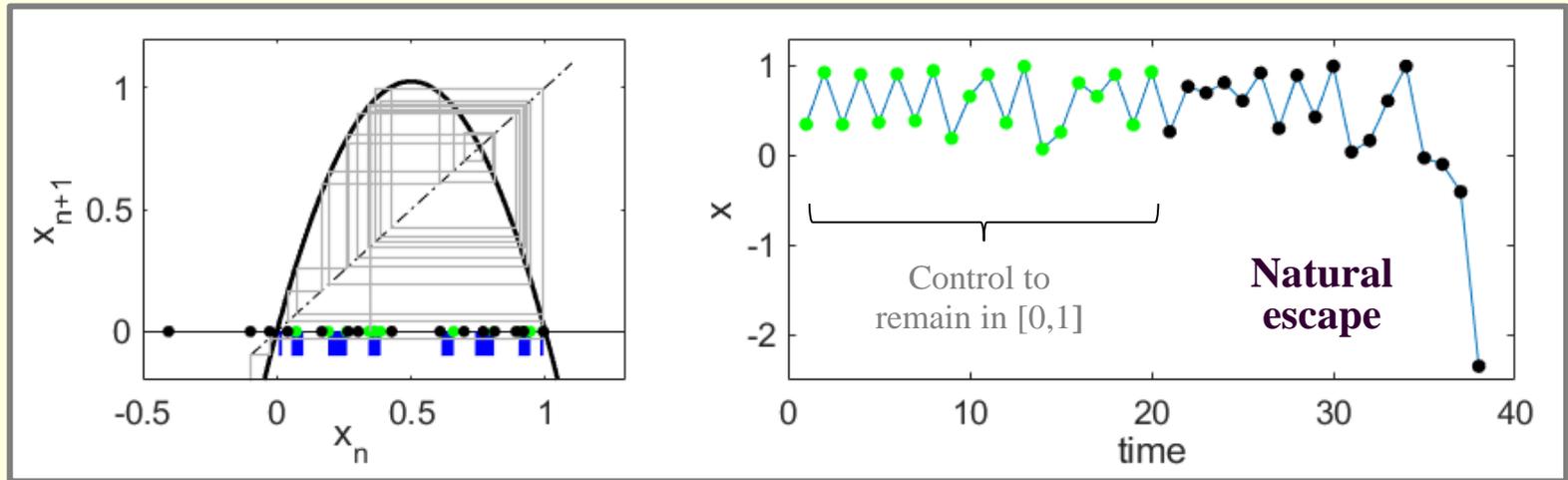
$$Q_0 = [0, 1]$$

Safe set: $\begin{cases} \xi_0 = 0.03 \\ u_0 = 0.02 \end{cases}$

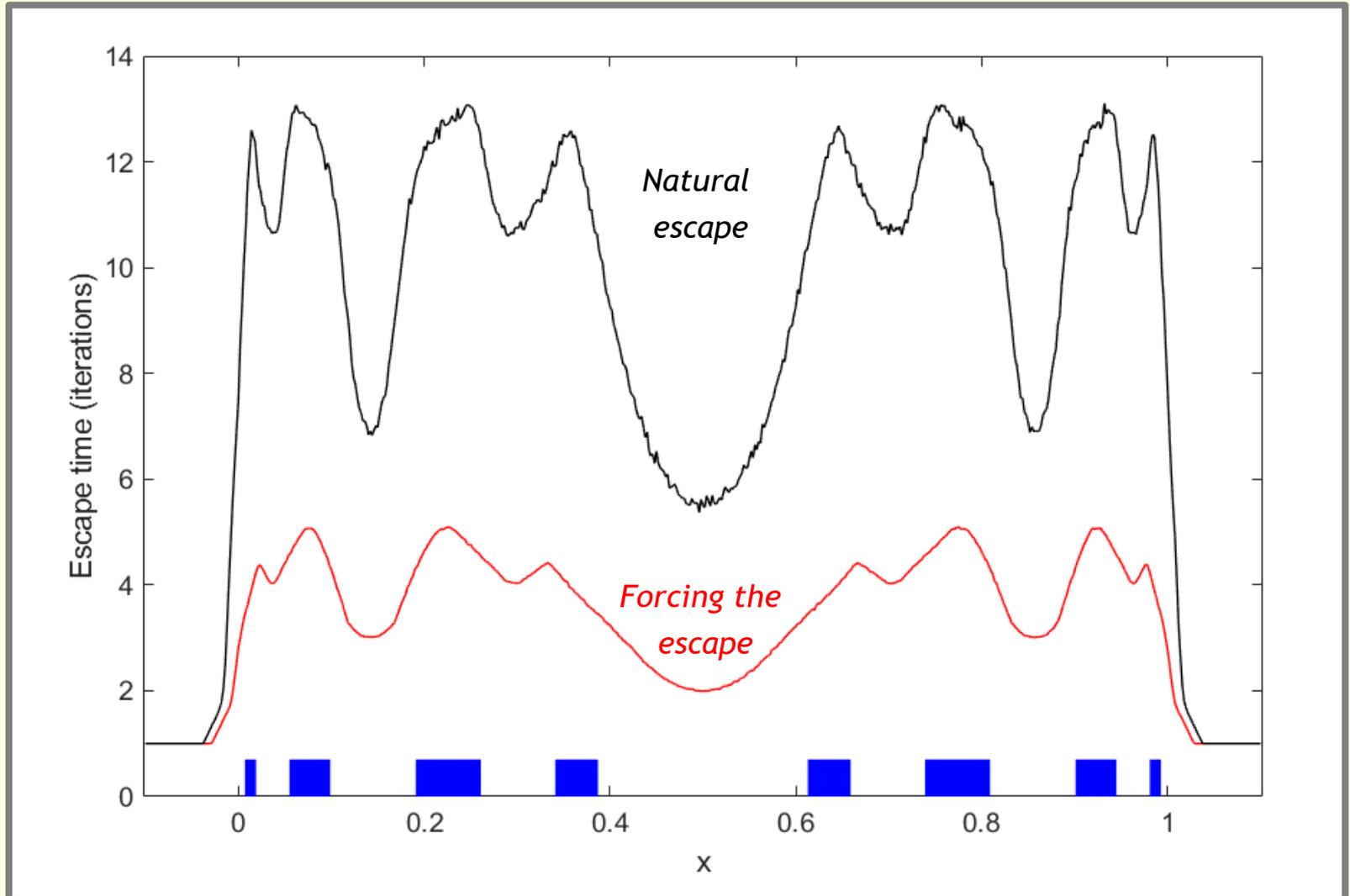
Escape or not: the logistic map (comparison)

$$\xi_0 = 0.03$$

$$u_0 = 0.02$$



Escape or not: the logistic map (comparison)



6- When the disturbance affects a parameter

R. Capeáns, J. Sabuco and M. A. F. Sanjuán. [Parametric partial control of chaotic systems](#). *Nonlinear Dynamics* 2, 869-876, (2016).

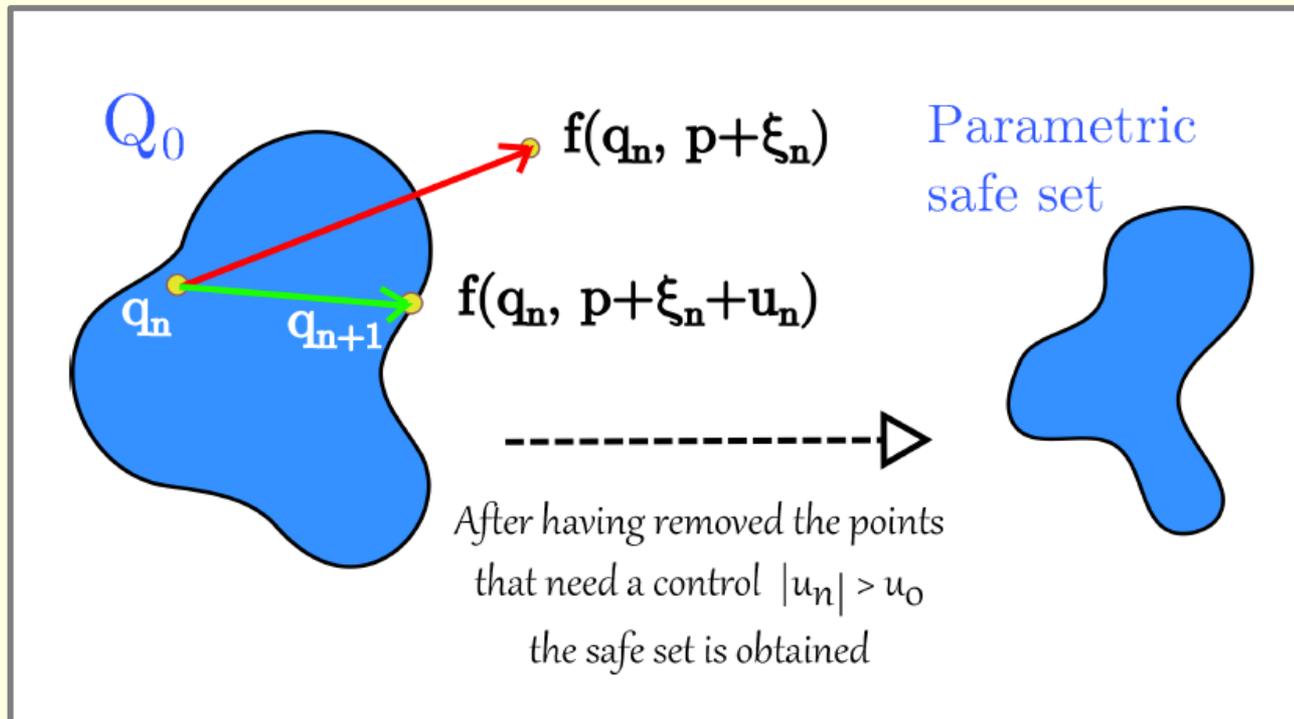
Parametric partial control

$$q_{n+1} = f(q_n, p + \xi_n + u_n)$$

$$|\xi_n| \leq \xi_0$$

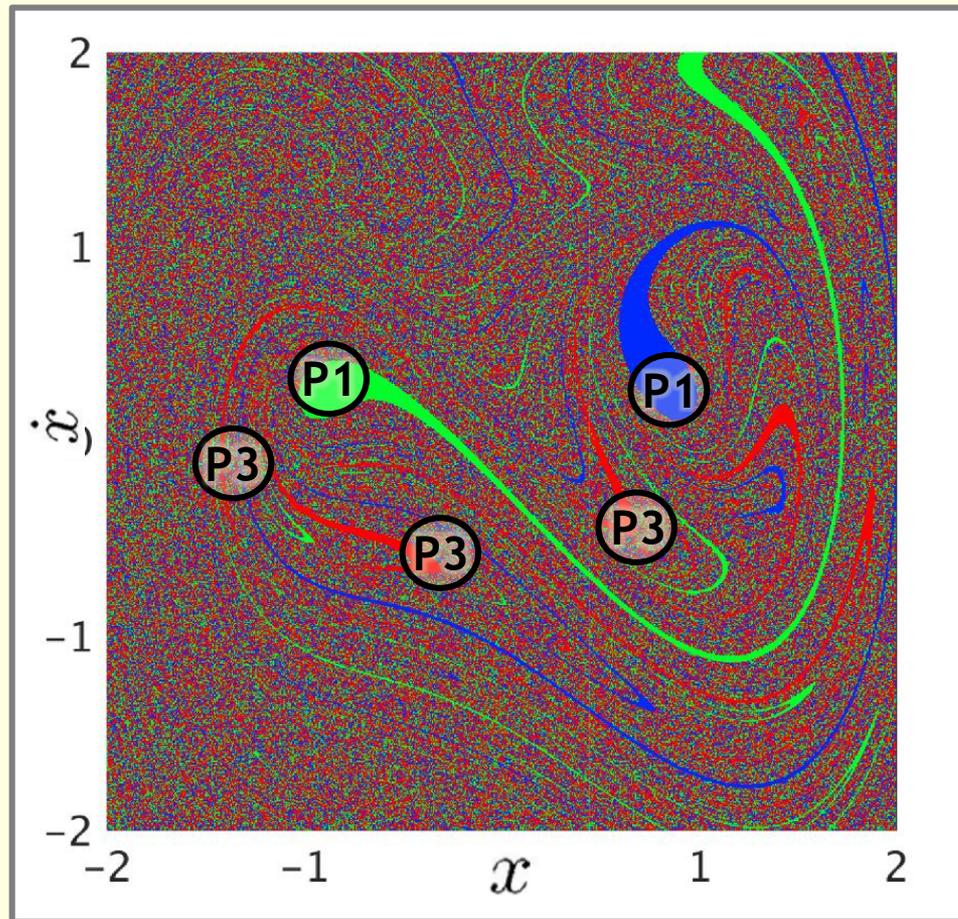
$$|u_n| \leq u_0$$

$$u_0 < \xi_0$$

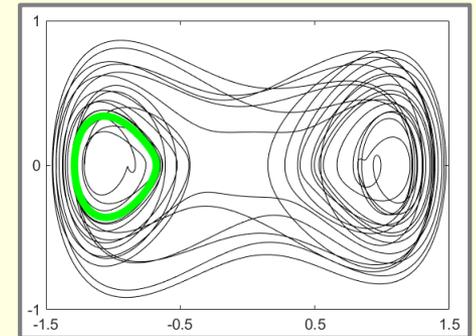


Parametric partial control in the Duffing oscillator

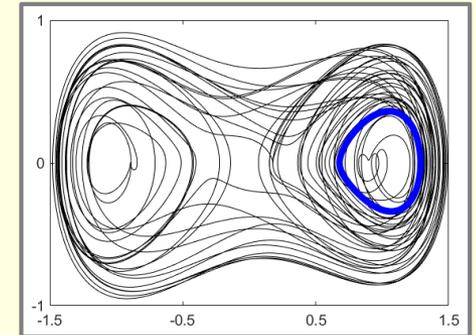
$$\ddot{x} + 0.15\dot{x} - x + x^3 = 0.245\sin(t)$$



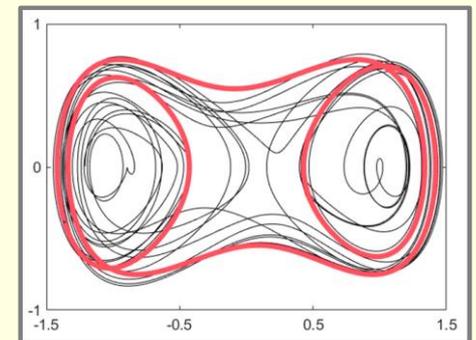
P1



P1



P3



Parametric partial control in the Duffing oscillator

$$\ddot{x} + 0.15\dot{x} - x + x^3 = 0.245 \sin(t)$$

$$0.245 + \xi_n + u_n$$

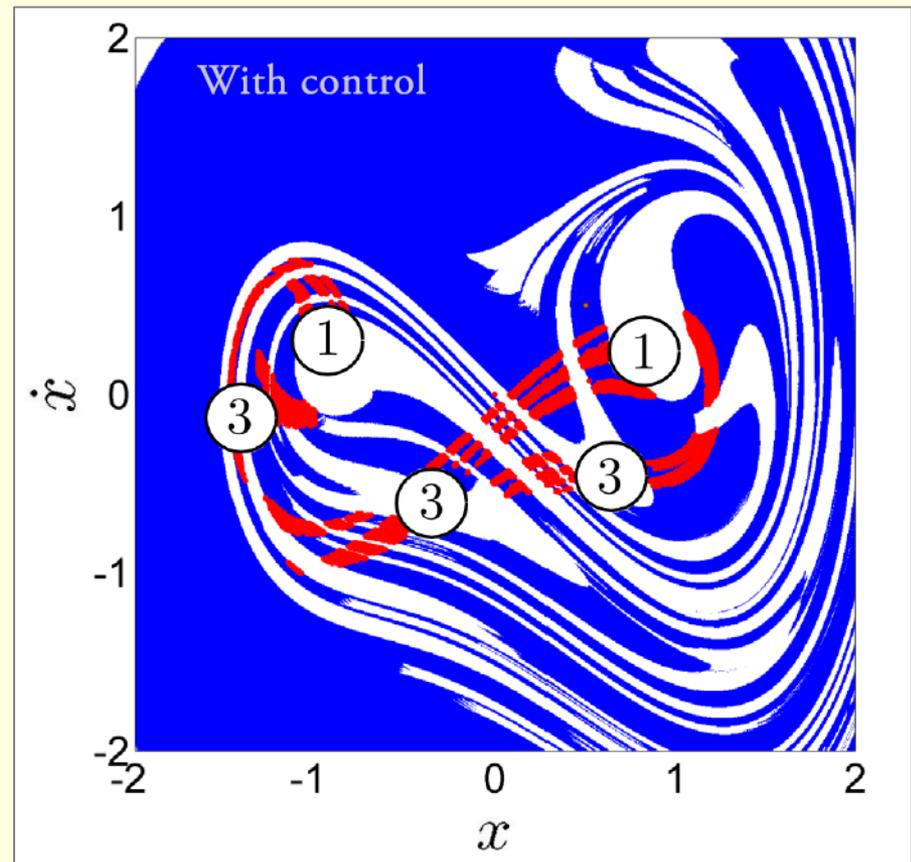
Goal:

*Avoid the periodic
attractors*

Safe set

$$\xi_0 = 0.020$$

$$u_0 = 0.014$$



7- Controlling time-delay coordinate maps

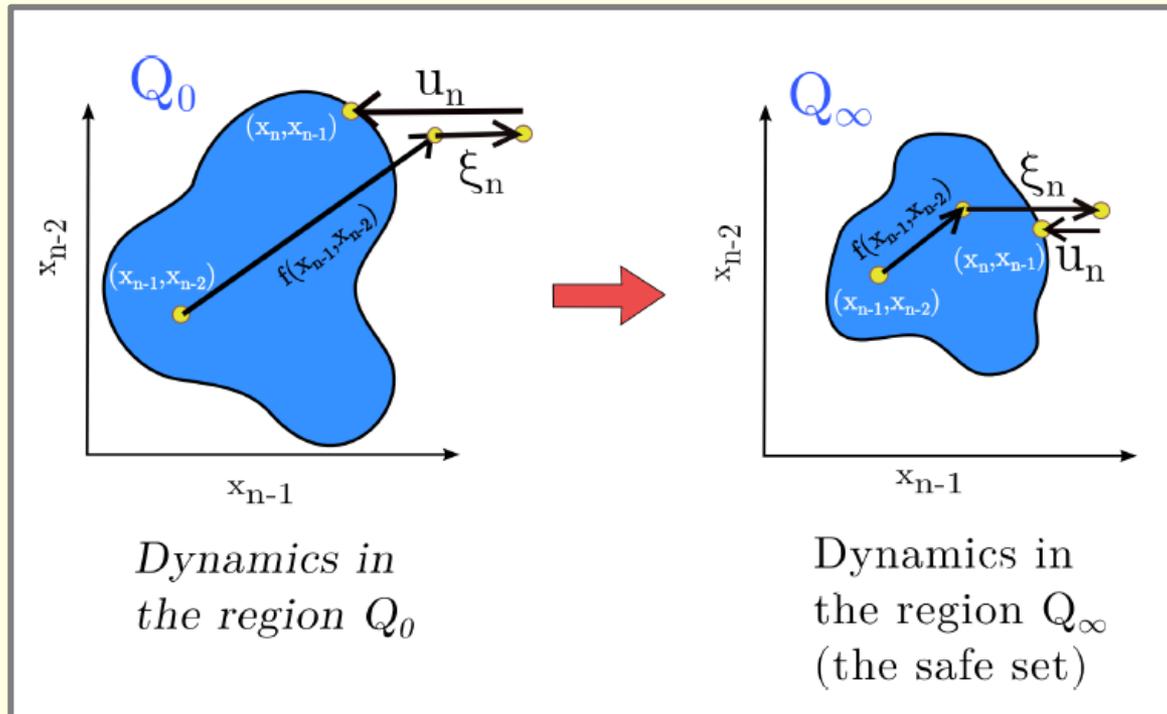
R. Capeáns, J. Sabuco and M. A. F. Sanjuán. [Partial control of delay-coordinate maps](#). *Nonlinear Dynamics* **92**, 1419-1429, (2018).

Time-delay coordinate maps: partial control scheme

$$x_n = f(x_{n-1}, x_{n-2}, \dots) + \xi_n + u_n$$

$$|\xi_n| \leq \xi_0$$

$$|u_n| \leq u_0$$



Only the present state can be controlled

Time-delay coordinate maps: The 3D Hénon map

$$\begin{aligned}x_n &= bz_{n-1} \\ y_n &= cx_{n-1} + bz_{n-1} \\ z_n &= 1 + y_{n-1} - az_{n-1}^2\end{aligned}$$



$$z_n = 1 - az_{n-1}^2 + bz_{n-2} - cbz_{n-3} + \xi_n + u_n$$

$$a = 1.1, b = 0.3, c = 1$$

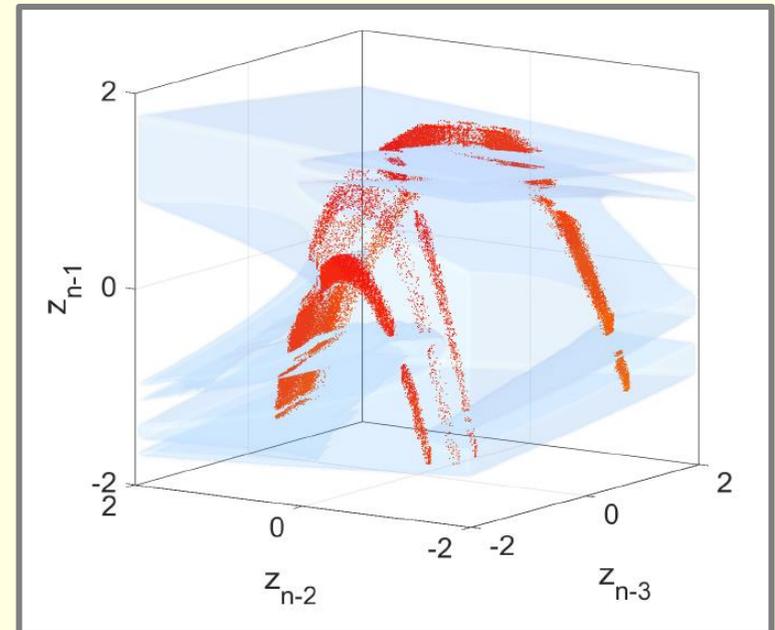
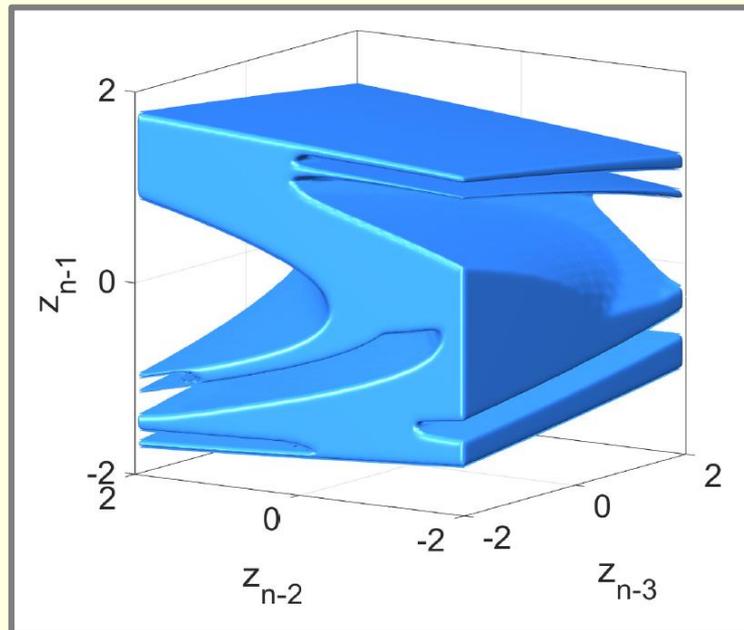
Goal: keep the trajectory in the box

The safe set

$$\xi_0 = 0.12$$

$$u_0 = 0.08$$

Controlled trajectory



8- A new approach: the safety function

R. Capeáns, J. Sabuco and M. A. F. Sanjuán. [A new approach of the partial control method in chaotic systems](https://arxiv.org/abs/1902.06238). Nonlinear Dynamics (2019)
<https://arxiv.org/abs/1902.06238>

The safety function

$$q_{n+1} = f(q_n, \xi_n) + u_n$$

Disturbance

Control

$$|\xi_n(q)| \leq \xi_0(q)$$

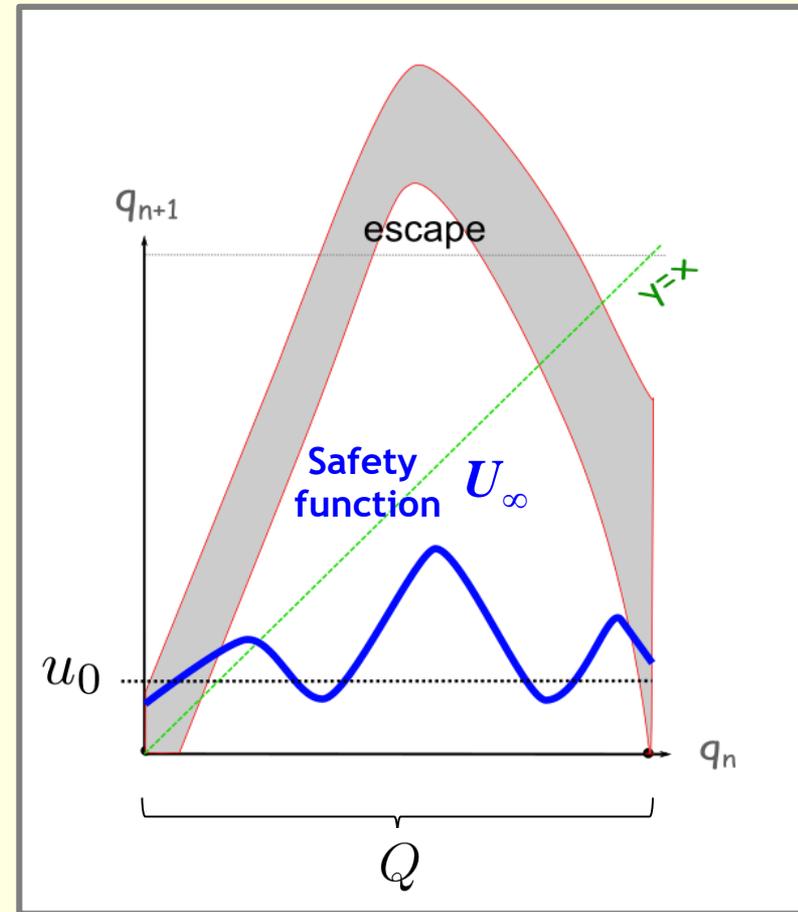
$$|u_n| \leq u_0$$

(Set at the end)

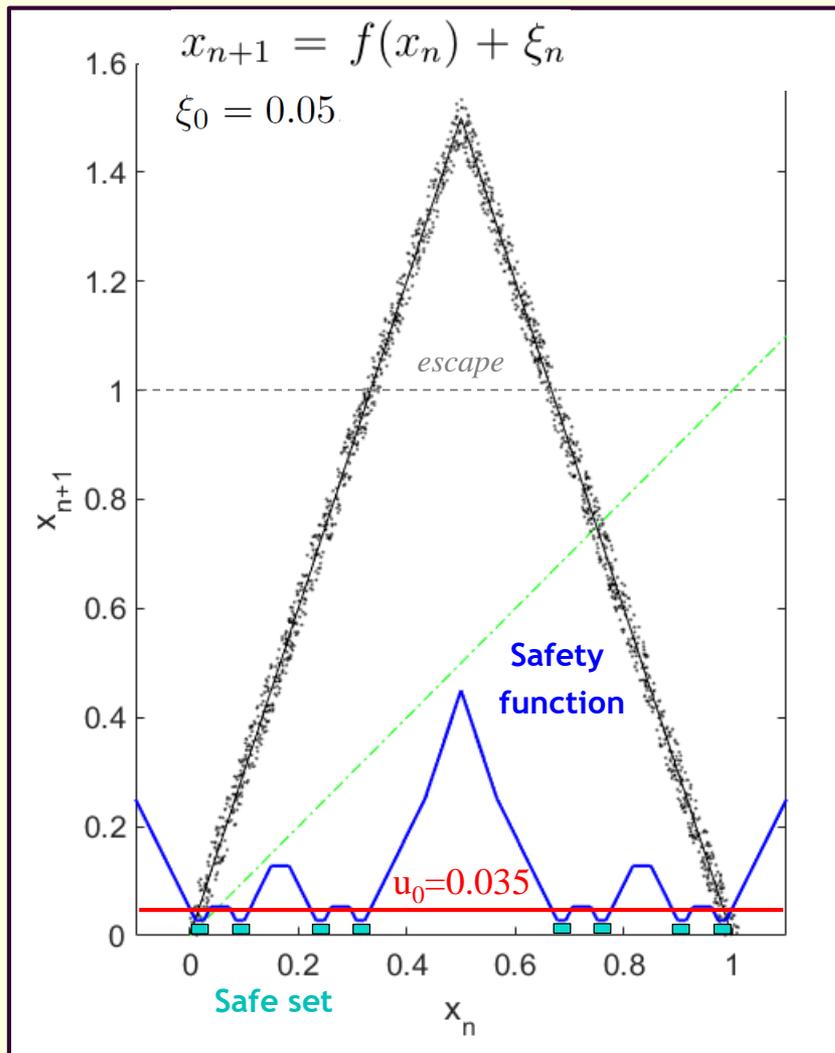
The safety function

$U_\infty(q)$ = minimum upper bound to keep the point q in the region Q , forever.

Recursive algorithm

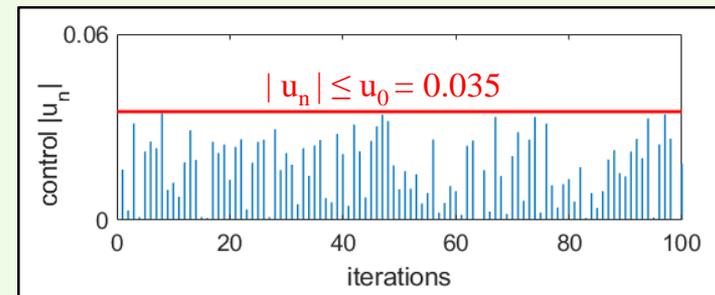
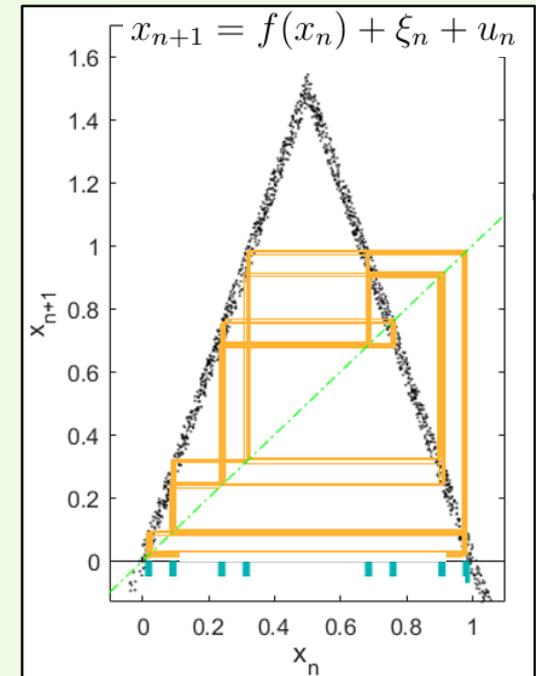


The safety function and the safe set



$$Q = [-0.1, 1.1]$$

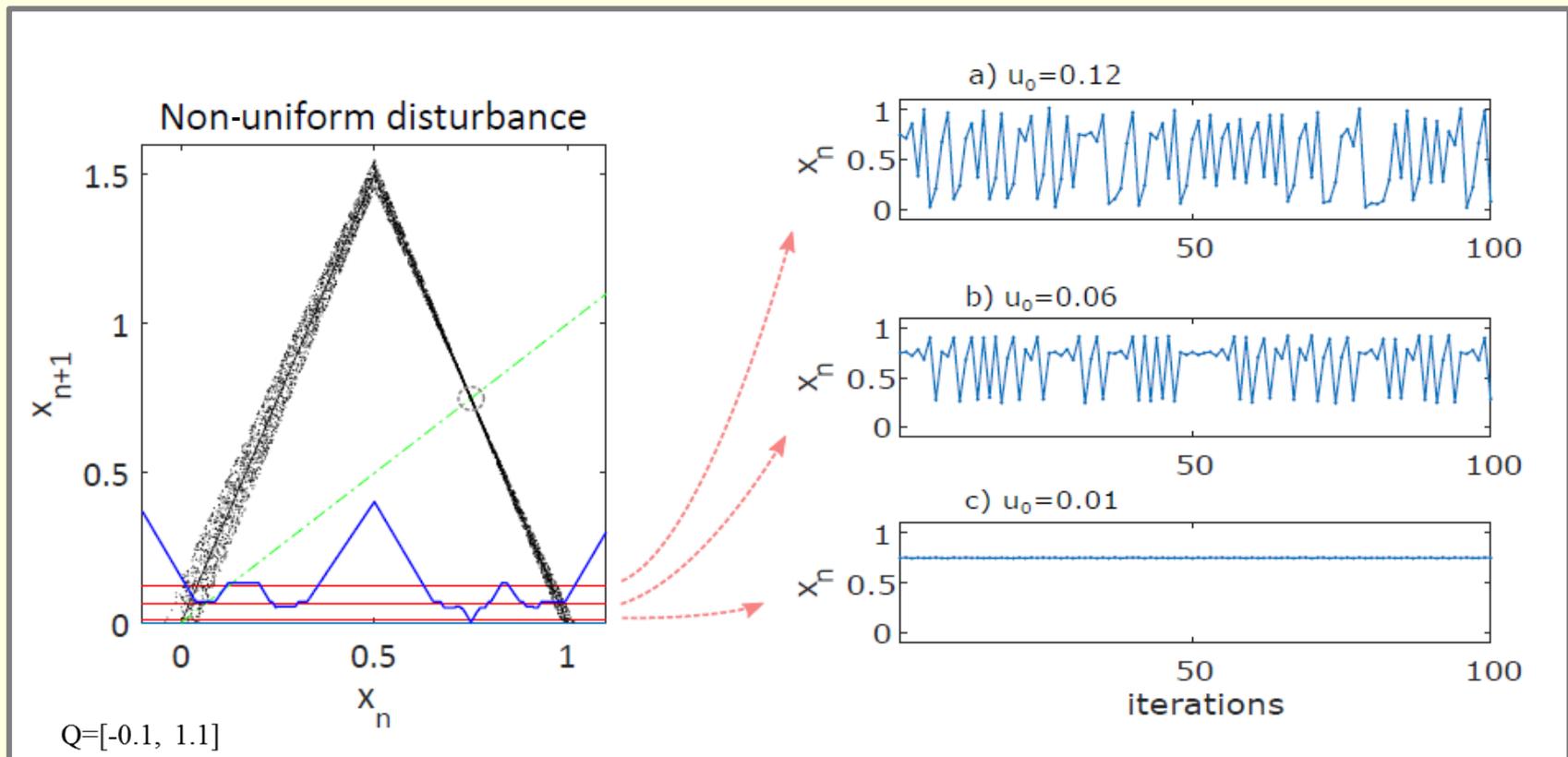
Controlled trajectory



The safety function with non-uniform disturbance

The slope-3 tent map
Non-uniform disturbance

$$x_{n+1} = \begin{cases} 3x_n + \xi_n(4x_n - 3) + u_n & \text{for } x_n \leq \frac{1}{2} \\ 3(1 - x_n) + \xi_n(4x_n - 3) + u_n & \text{for } x_n > \frac{1}{2}, \end{cases}$$



The safety function in the Hénon map

$$x_{n+1} = a - by_n - x_n^2 + \xi_n^x + u_n^x$$

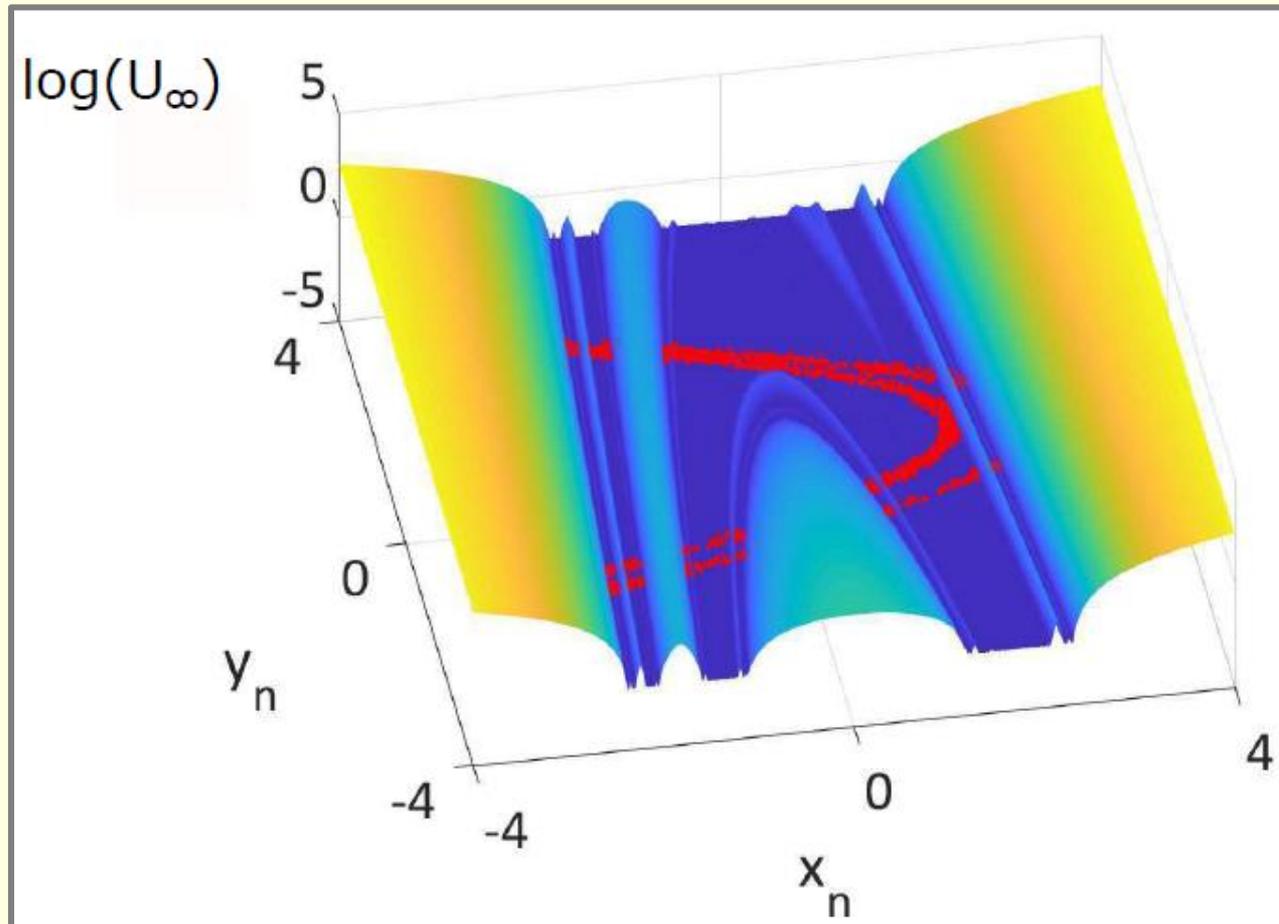
$$y_{n+1} = x_n + \xi_n^y + u_n^y.$$

$$a = 2.16 \text{ and } b = 0.3$$

$$Q_0 = [-4, 4] \times [-4, 4]$$

$$\xi_0 = 0.1$$

$$u_0 = 0.08$$



8- Conclusions

Introduction and first applications:

- Partial control is applied on dynamical systems that exhibits **transient chaos**, with the aim of avoiding the crisis even in presence of noise.
- This method is able to **keep the amount of control smaller than the disturbance** affecting the system
- An ecological model was considered with the aim of **avoiding the extinction** of one species. By computing a one-dimensional safe set the crisis was suppressed.
- This control method was also applied to the Lorenz system by using a 1D, 2D and 3D maps, obtaining for the first time a **three-dimensional safe set**.

The partial control technique was adapted to be applied in different scenarios:

- First, we have shown that safe sets can be used in a **dual way**, to avoid the escape or to accelerate it.
- Secondly, we considered the scenario where a parameter of the system is affected by the disturbance. We found that **parametric safe sets** exist when control is applied on the parameter of the system.
- Finally, the family of **time-delay coordinates maps** was studied. We show that it is possible to control the orbits with the only observation and control of **one variable**.

A new approach:

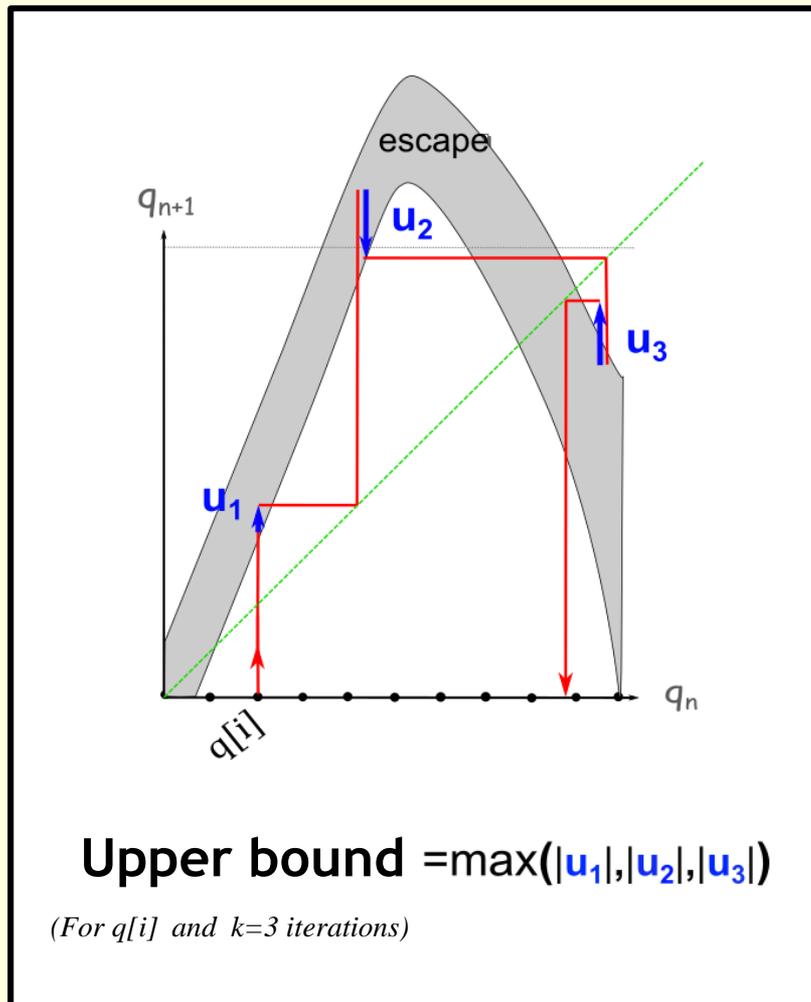
- With the same philosophy of partial control, we introduce the concept of the **safety function**, which is a generalization of the safe set.
- This function indicates the **minimum upper bound of control** necessary to keep each initial condition in the region Q forever.
- The use of the safety function allows us to treat more diverse scenarios, being specially useful in case of experimental time series.

Publications

- R. Capeáns, J. Sabuco and M. A. F. Sanjuán. [A new approach of the partial control method in chaotic systems](https://arxiv.org/abs/1902.06238). *Nonlinear Dynamics* (2019) <https://arxiv.org/abs/1902.06238>
- R. Capeáns, J. Sabuco and M.A.F.Sanjuán. [Partial control of chaos: how to avoid undesirable behaviors with small controls in presence of noise](#). *Discrete and Continuous Dynamical Systems - Series B* **2**, 3237-3274, (2018).
- R. Capeáns, J. Sabuco and M. A. F. Sanjuán. [Partial control of delay-coordinate maps](#). *Nonlinear Dynamics* **92**, 1419-1429, (2018).
- R. Capeáns, J. Sabuco and M. A. F. Sanjuán. [Escaping from a chaotic saddle in the presence of noise](#). *International Journal of Nonlinear Dynamics and Control* **1**, 1-8, (2017).
- R. Capeáns, J. Sabuco, M. A. F. Sanjuán and J. A. Yorke. [Partially controlling transient chaos in the Lorenz equations](#). *Philosophical Transactions of the Royal Society A* **375**, 2088, (2017).
- R. Capeáns, J. Sabuco and M. A. F. Sanjuán. [Parametric partial control of chaotic systems](#). *Nonlinear Dynamics* **2**, 869-876, (2016).
- R. Capeáns, J. Sabuco and M. A. F. Sanjuán. [When less is more: Partial control to avoid extinction of predators in an ecological model](#). *Ecological Complexity* **19**, 1-8, (2014).



Meaning and computation of the safety function



$U_k[i]$ = minimum upper bound
to keep the point $q[i]$ in the
region Q , during k iterations

Is it possible to find
the function U_k ?

The function U_k (in absence of disturbances)

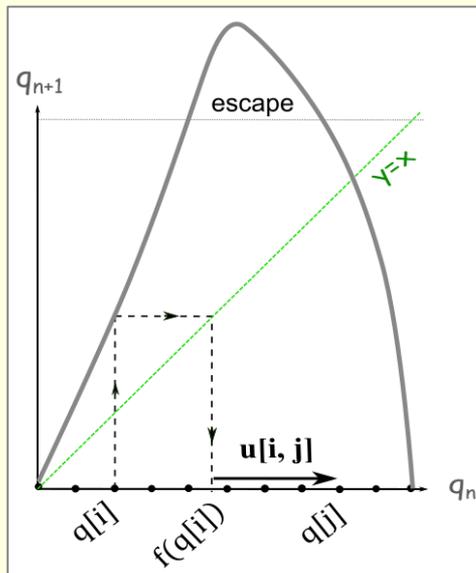
What is the **minimum control bound** for every point $q \in Q$ to remain in Q during k iterations?

Discretization

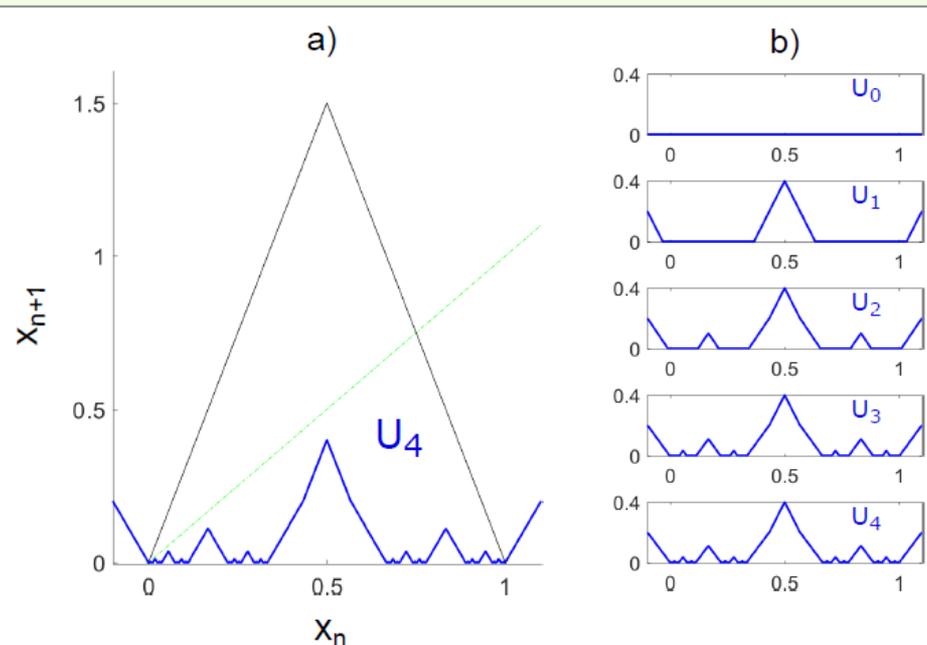
$$q_{n+1} = f(q_n) + u_n$$



$$q[j] = f(q[i]) + u[i, j]$$



$$U_{k+1}[i] = \min_{1 \leq j \leq N} (\max (u[i, j], U_k[j]))$$



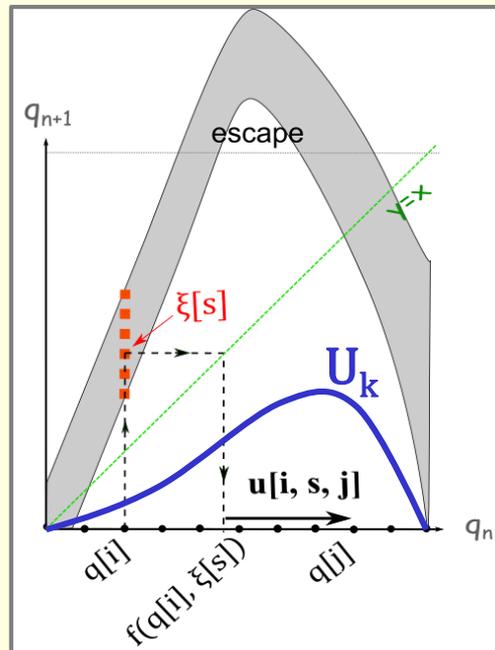
Meaning and computation of the safety function

Discretization

$$q_{n+1} = f(q_n, \xi_n) + u_n$$



$$q[j] = f(q[i], \xi[s]) + u[i, s, j]$$



Recursive algorithm

$$U_{k+1}[i] = \max_{1 \leq s \leq M_i} \left(\min_{1 \leq j \leq N} \left(\max \left(u[i, s, j], U_k[j] \right) \right) \right)$$

To remain in Q forever we need to find U_∞

If the algorithm converges... $U_{k+1} = U_k$

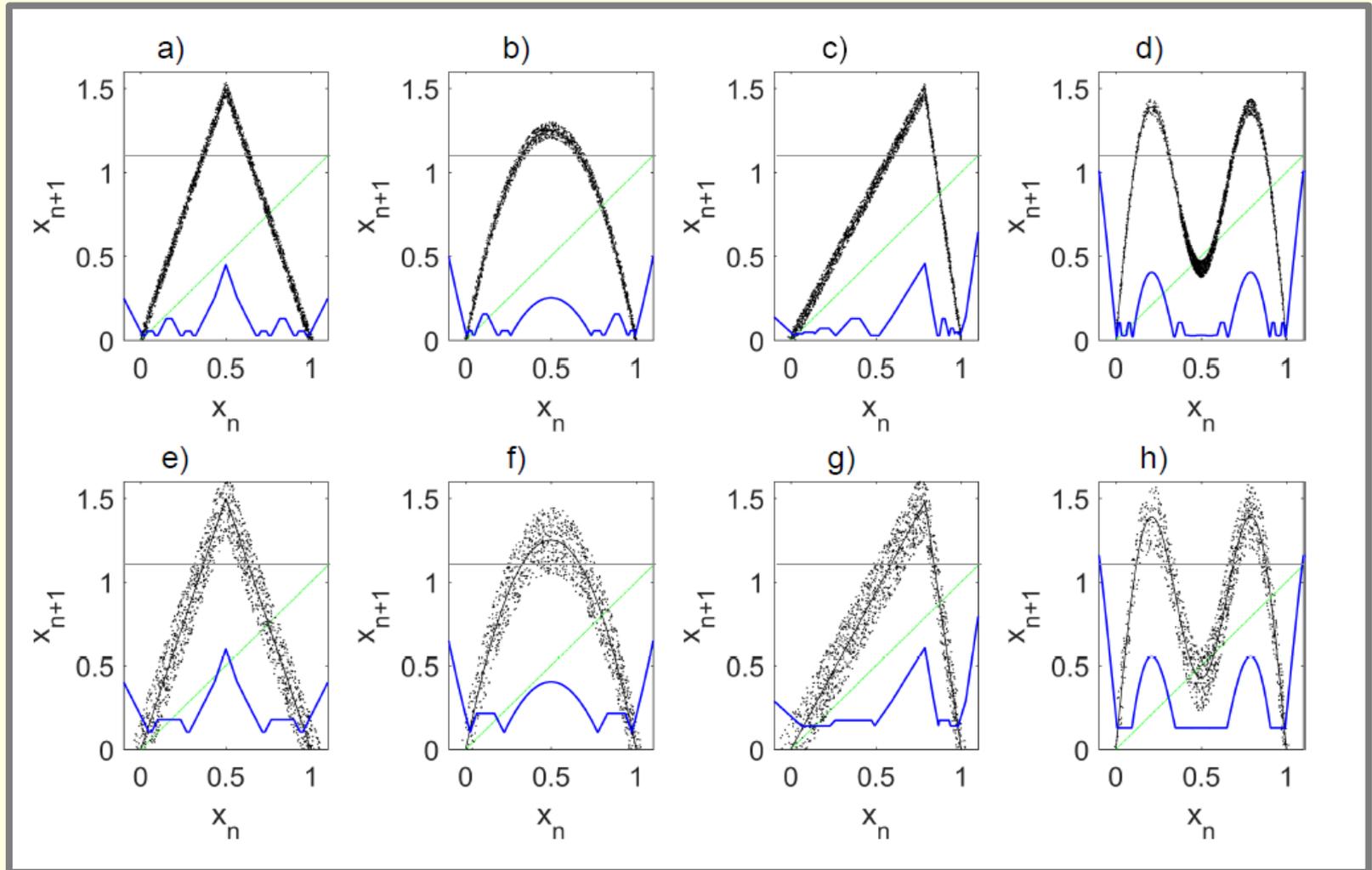
and then...

$$U_\infty = U_k$$

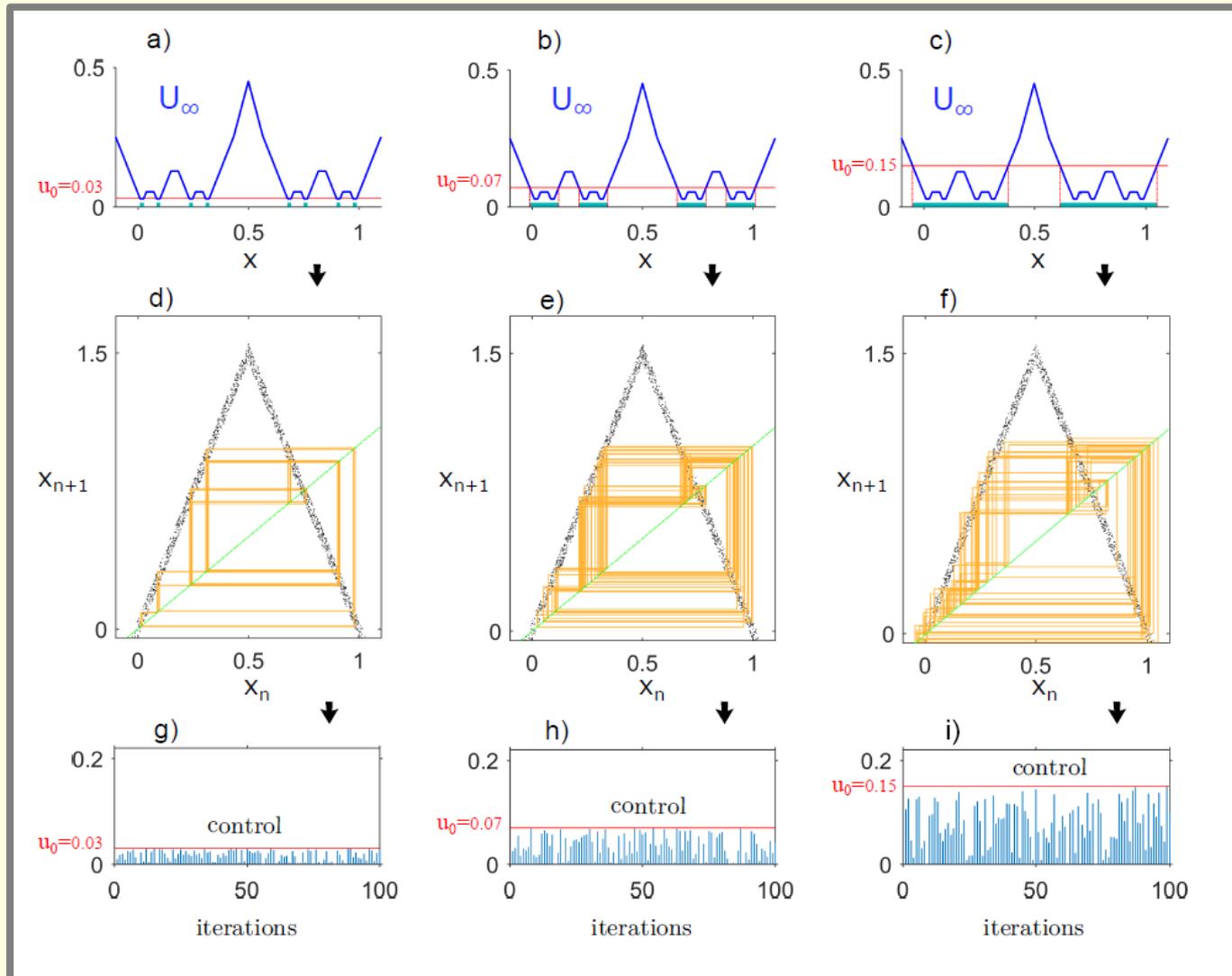


The safety function

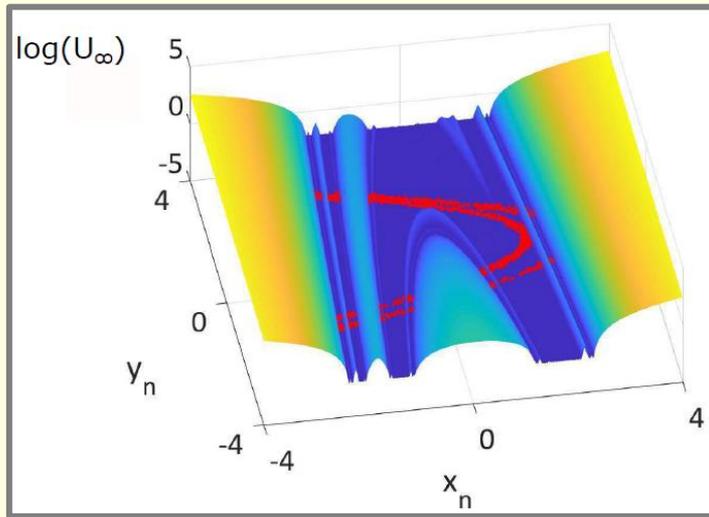
Different safety functions



Recovering the safe set from the safety function



The safety functions in different scenarios



The Hénon map

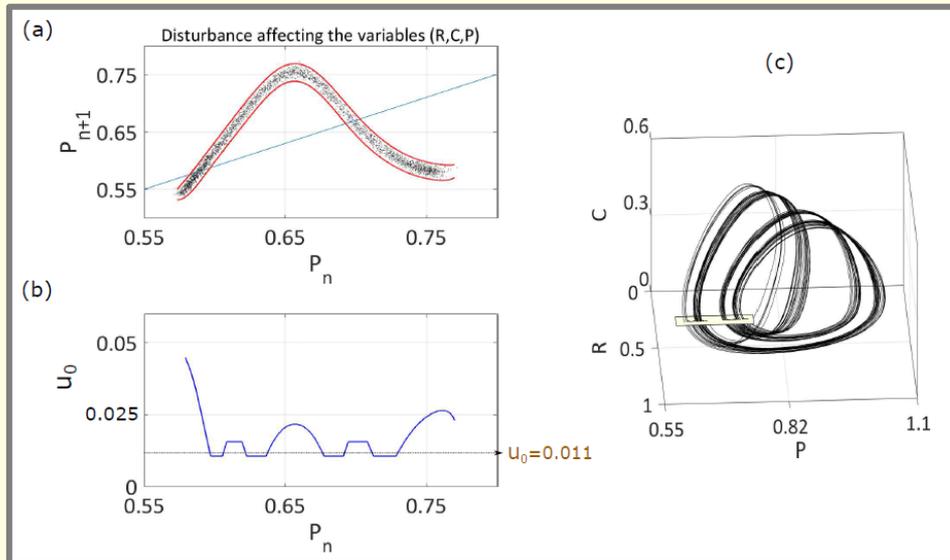
$$x_{n+1} = a - by_n - x_n^2 + \xi_n^x + u_n^x$$

$$y_{n+1} = x_n + \xi_n^y + u_n^y$$

$$a = 2.16 \text{ and } b = 0.3$$

$$\xi_0 = 0.1$$

$$u_0 = 0.08$$



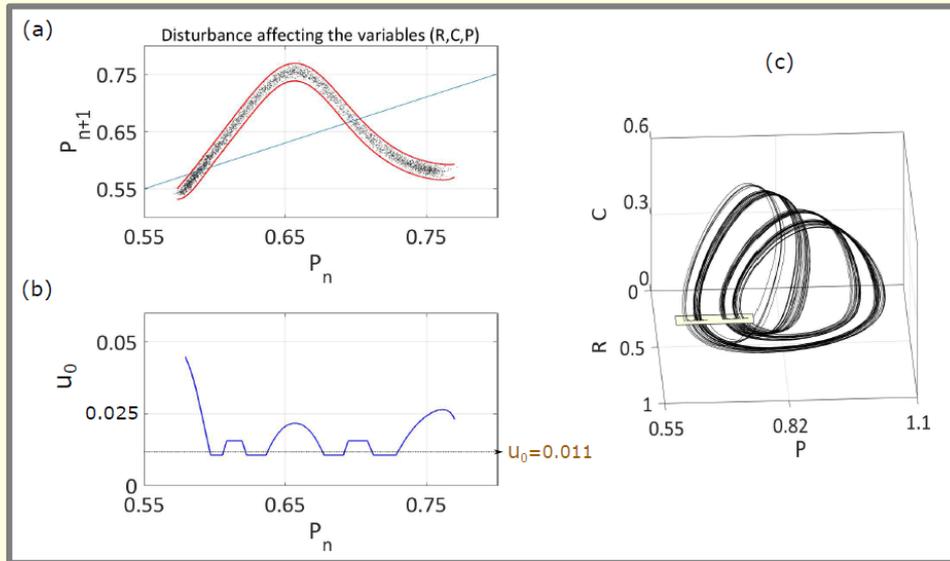
The ecological model: Continuous noise affecting the variables R,C,P

$$\frac{dR}{dt} = R \left(1 - \frac{R}{K} \right) - \frac{x_c y_c C R}{R + R_0}$$

$$\frac{dC}{dt} = x_c C \left(\frac{y_c R}{R + R_0} - 1 \right) - \psi(P) \frac{y_p C}{C + C_0}$$

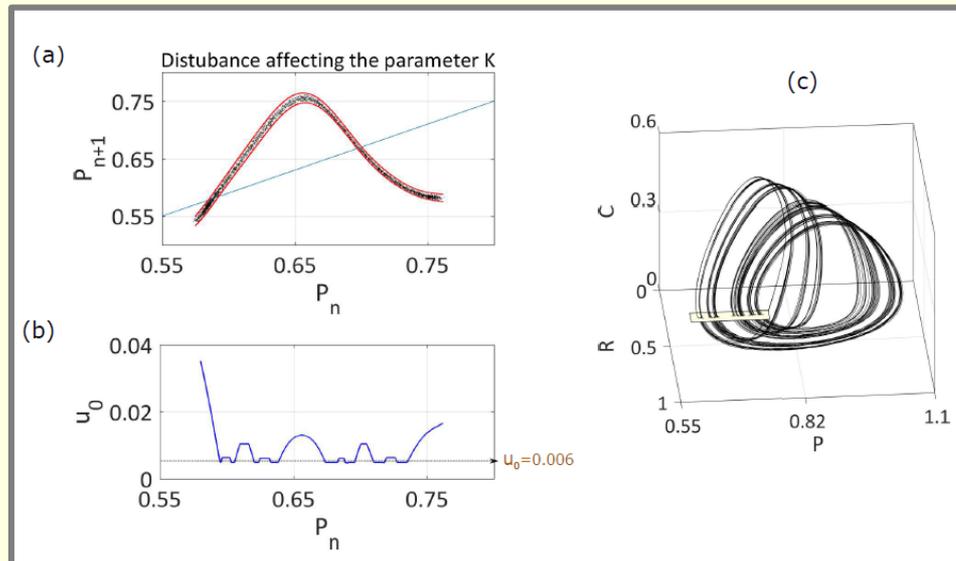
$$\frac{dP}{dt} = \psi(P) \frac{y_p C}{C + C_0} - x_p P$$

The safety functions in different scenarios



$$\begin{aligned} \frac{dR}{dt} &= R \left(1 - \frac{R}{K} \right) - \frac{x_c y_c C R}{R + R_0} \\ \frac{dC}{dt} &= x_c C \left(\frac{y_c R}{R + R_0} - 1 \right) - \psi(P) \frac{y_p C}{C + C_0} \\ \frac{dP}{dt} &= \psi(P) \frac{y_p C}{C + C_0} - x_p P \end{aligned}$$

The ecological model:
Continuous noise affecting
the variables R,C,P

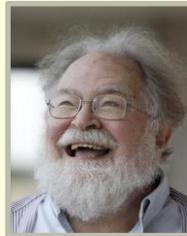


The ecological model:
Continuous noise affecting
the parameter k

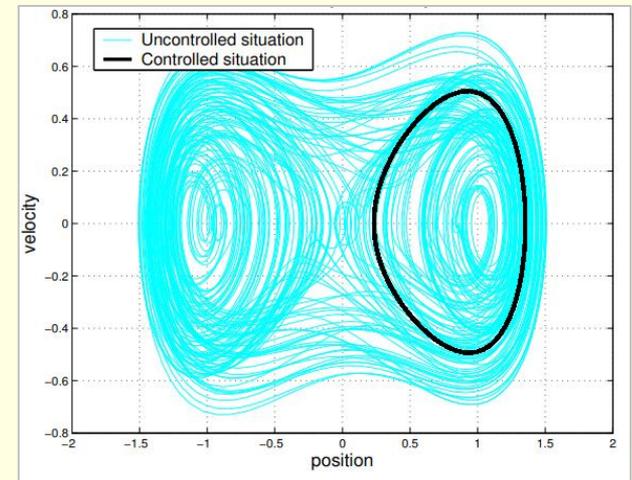
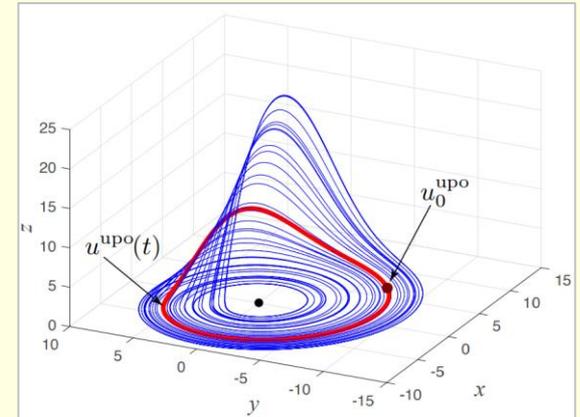
Chaotic motion to regular motion

How?

- *OGY method (1990)*



- *Delayed Feedback control (1992)*



Partial control algorithm

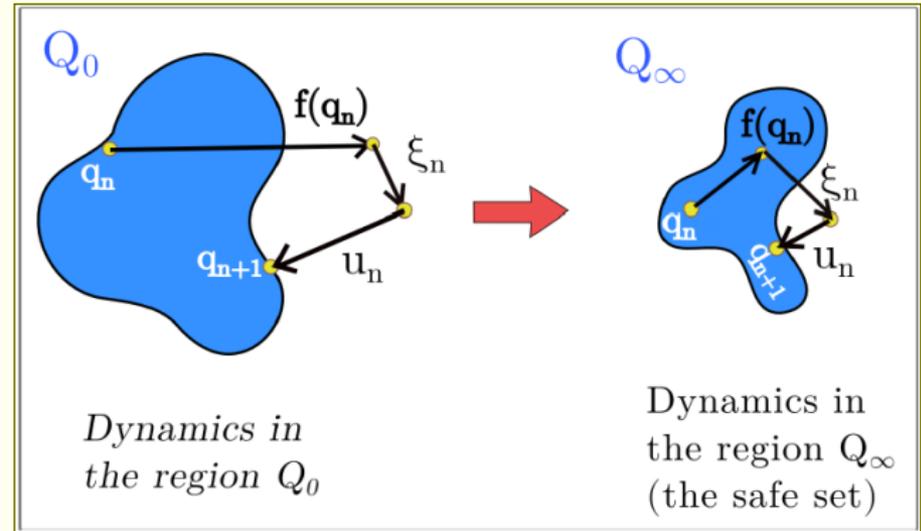
Dynamics in the map

Admissible trajectories

$$\mathbf{x}_{n+1} = f(\mathbf{x}_n) + \xi_n + u_n$$

disturbance *control*

$$|\xi_n| \leq \xi_0 \quad |u_n| \leq u_0$$



Algorithm to find the safe set.

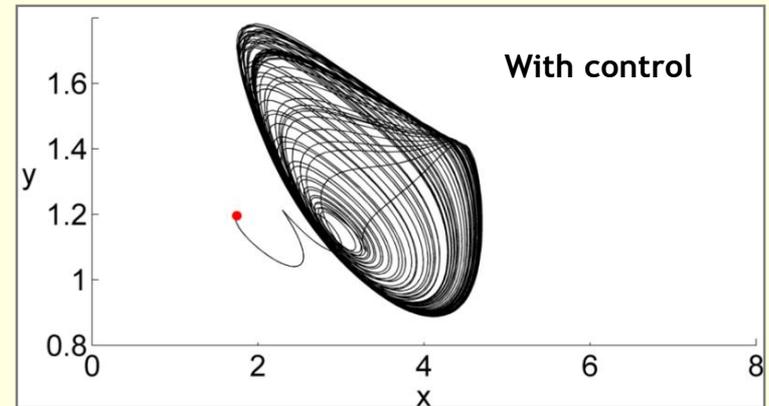
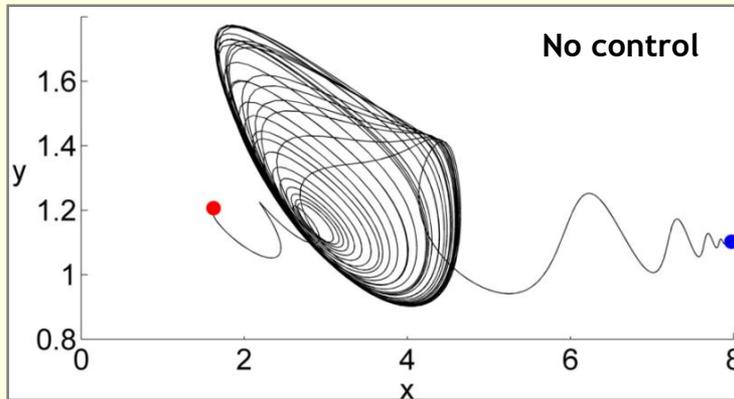
**Controlled trajectory
in the safe set**

$$u_0 < \xi_0$$

$$u_0 < \xi_0$$

Control of transient chaos

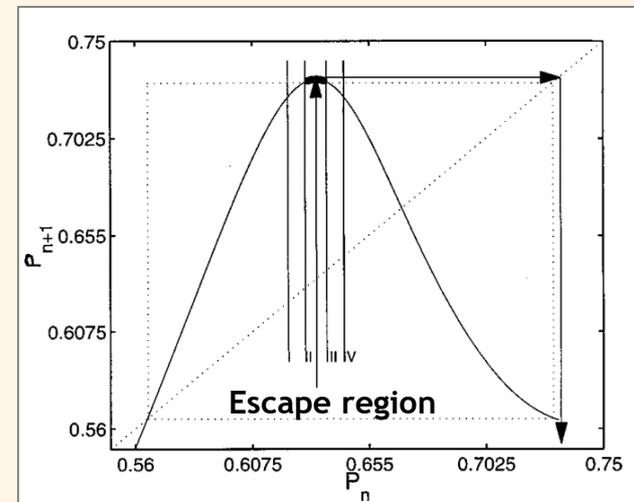
Avoiding the crisis..



Dhamala and Lai control

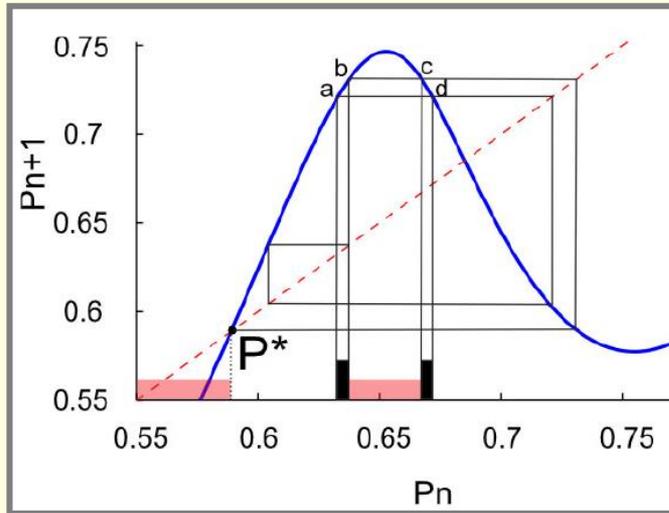
- Identify the **escape regions**
- Apply control to avoid them
- **No noise considered !!**

M. Dhamala and Y.C. Lai. *Controlling transient chaos in deterministic flows with applications to electrical power systems and ecology*. *Phys. Rev. E* **59**, 1646, 1999



Comparing the control methods

Dhamala-Lai set

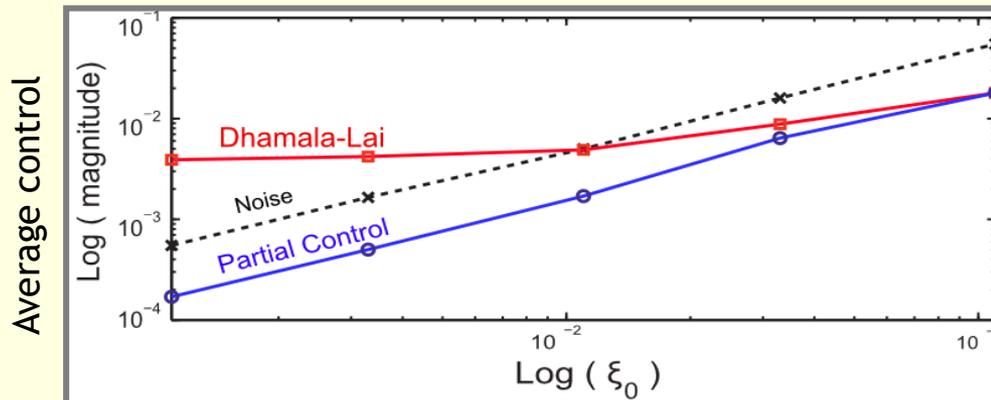
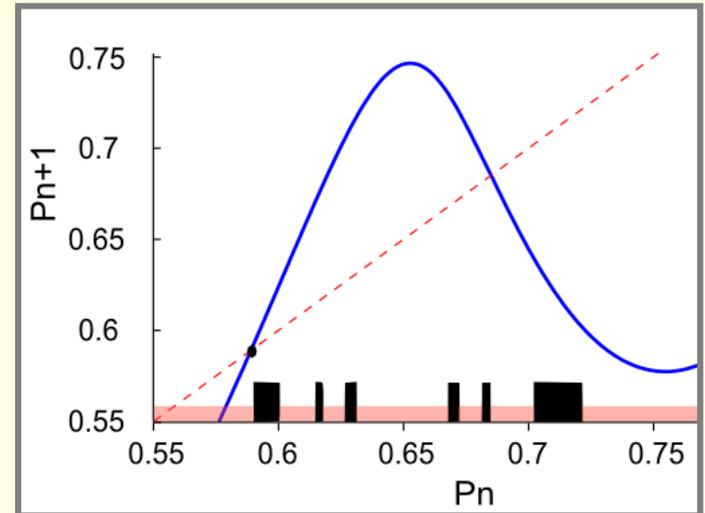


$$\xi_0 = 0.010$$

$$u_0 = 0.007$$

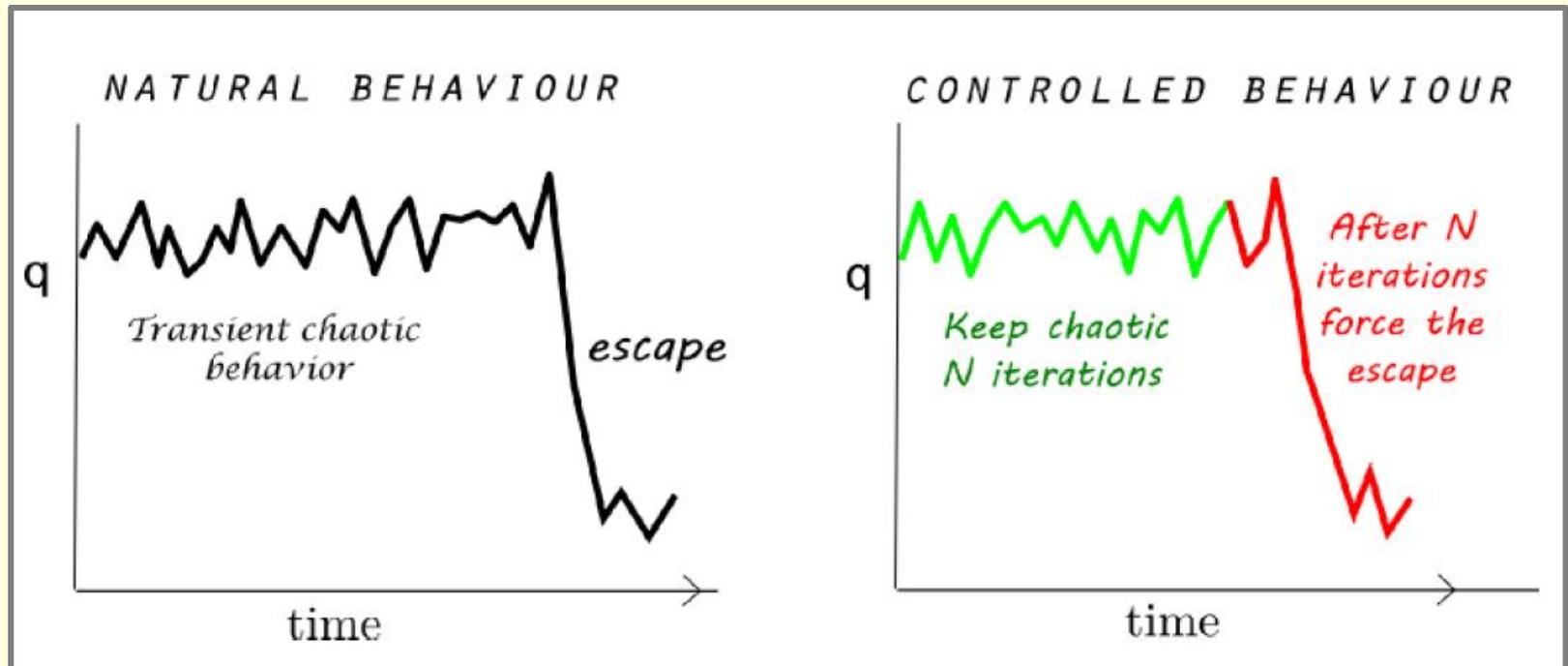
Control set

(Partial control) safe set



Escape or not

Based on the idea that the **escape time with disturbances** resembles the safe set



The partial control motivation

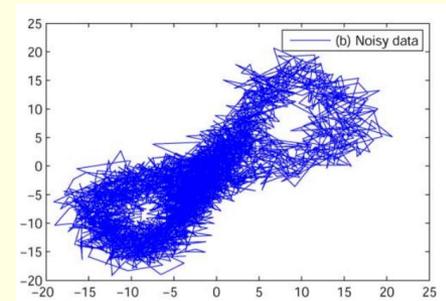
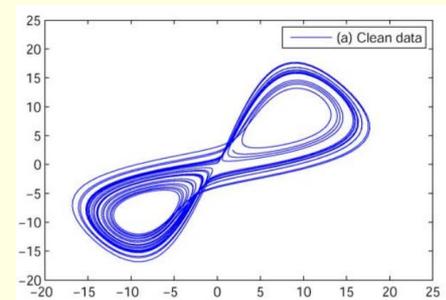
Goal: avoid the crisis (escapes) of the transient chaotic trajectory

However:

- Real systems are affected by disturbances
- Source of disturbances: mismatches in model, uncertainty in the exact state of the system, external perturbations..
- Chaotic dynamics is a natural amplifier of noise and therefore control methods may fail.

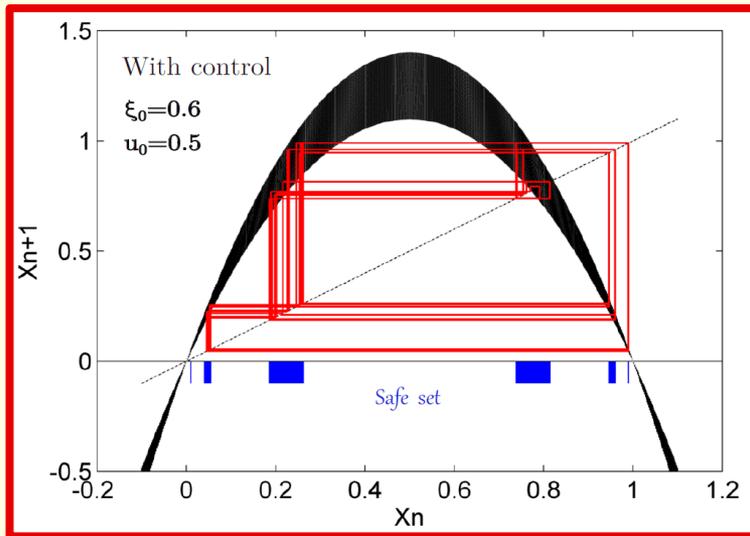
Partial control:

Keep the noise under control and keep the control low

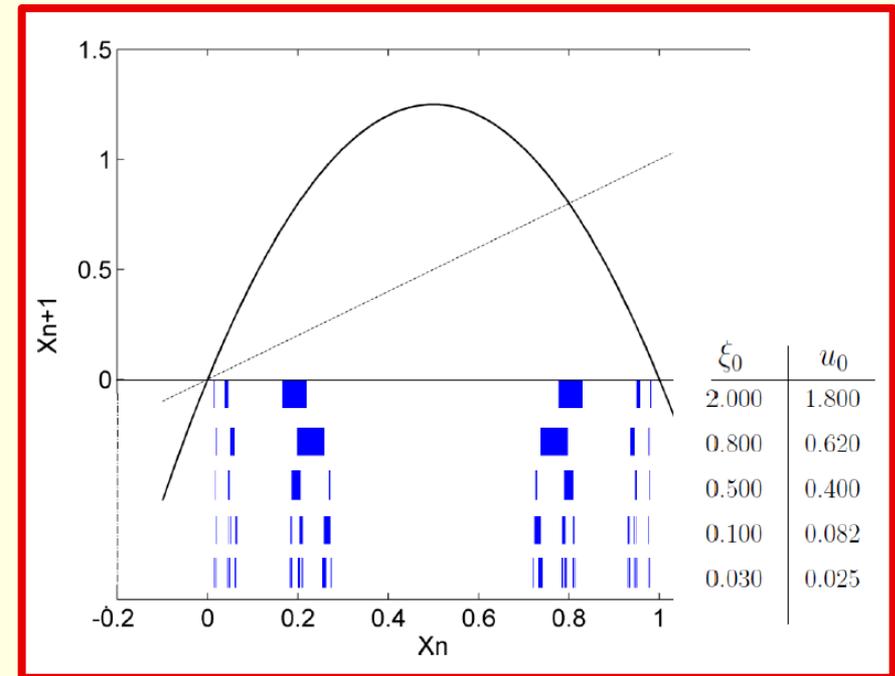


Parametric partial control in the logistic map

$$x_{n+1} = (r + \xi_n + u_n)x_n(1 - x_n)$$



Controlled trajectory using the parametric safe set



How the parametric safe set changes?

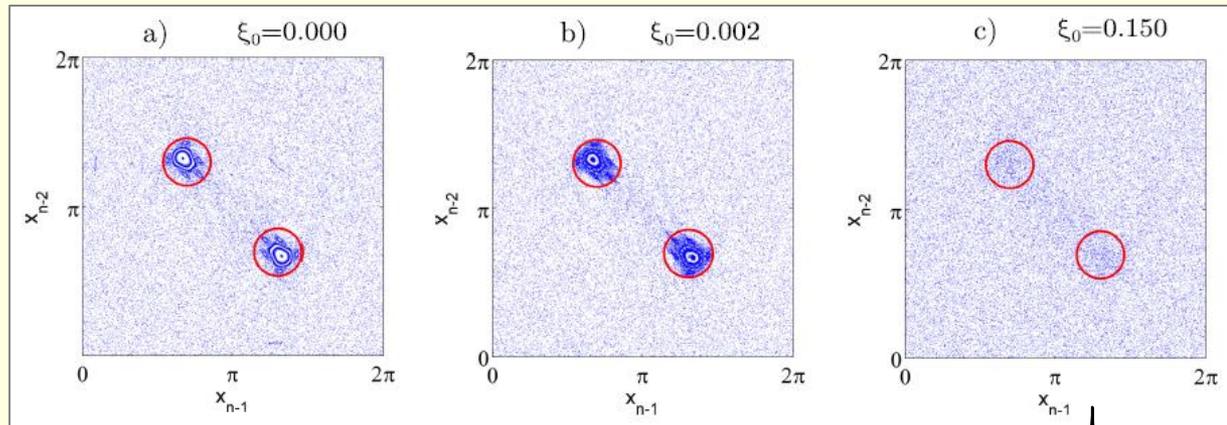
Time-delay coordinate maps: The estandar map

$$y_n = y_{n-1} + K \sin x_{n-1}$$

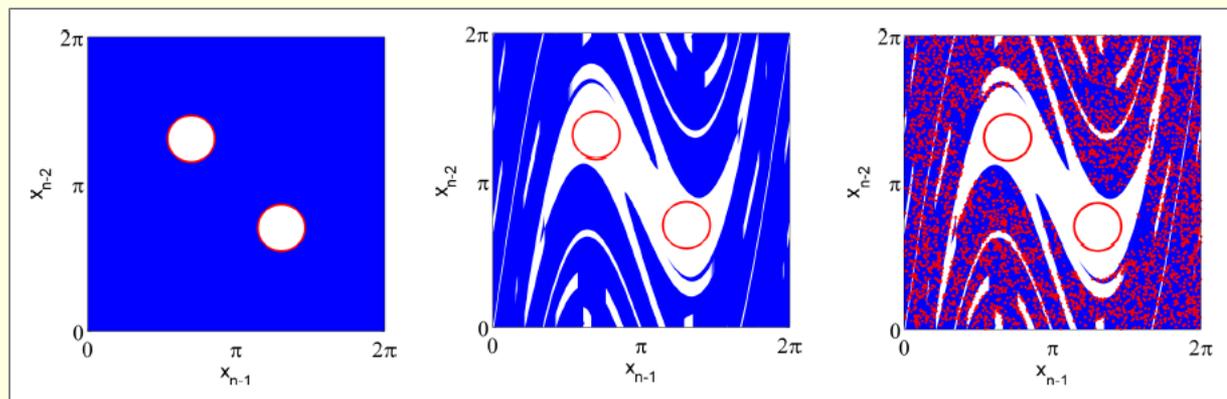
$$x_n = x_{n-1} + y_n,$$



$$x_n = 2x_{n-1} - x_{n-2} + K \sin x_{n-1} + \xi_n + u_n$$



Goal:
avoid the KAM
islands

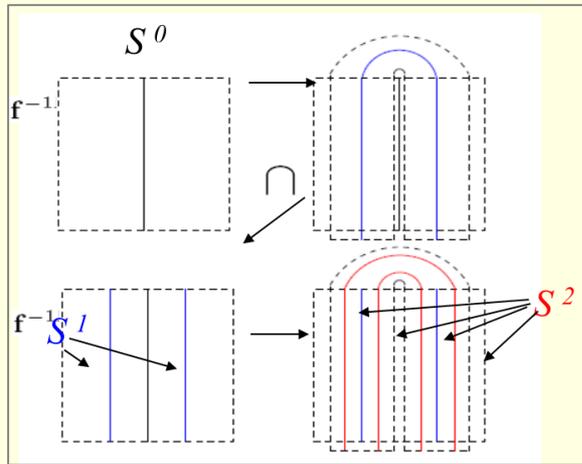


$$\xi_0 = 0.15$$

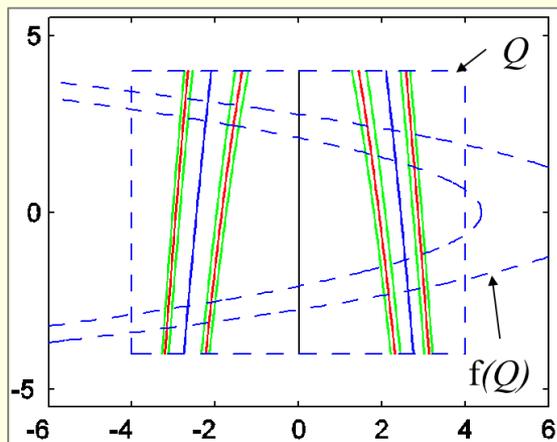
$$u_0 = 0.08$$

Partial control basics

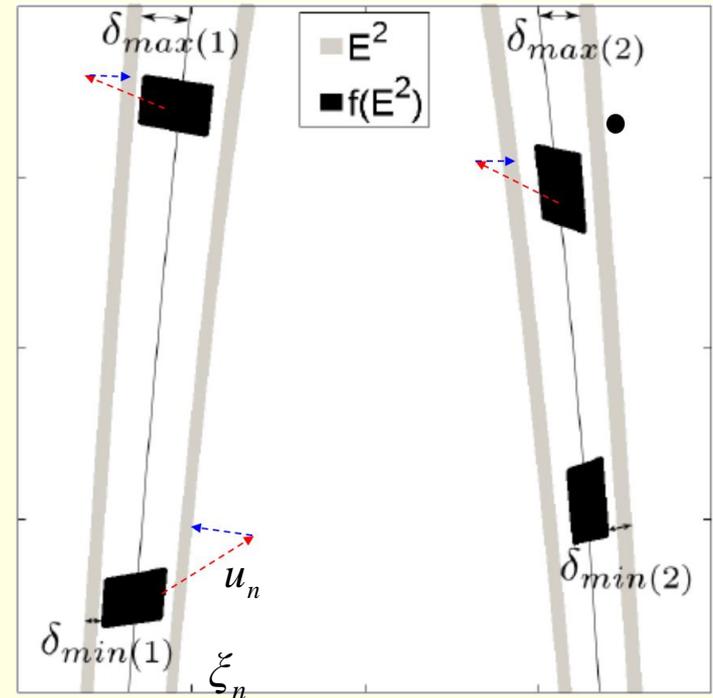
Smale horseshoe



Hénon horseshoe



Partial control in the Hénon map

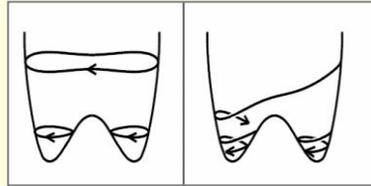


$$\mathbf{x}_{n+1} = f(\mathbf{x}_n) + \xi_n + u_n$$

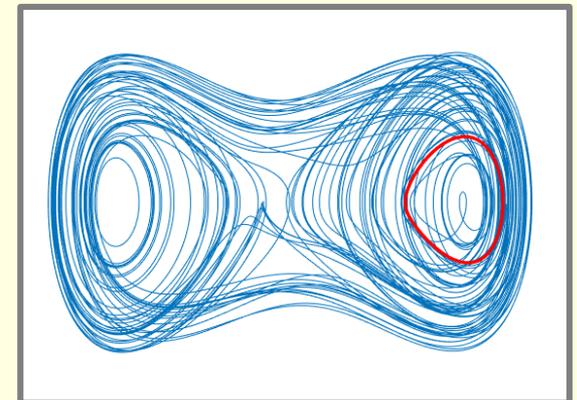
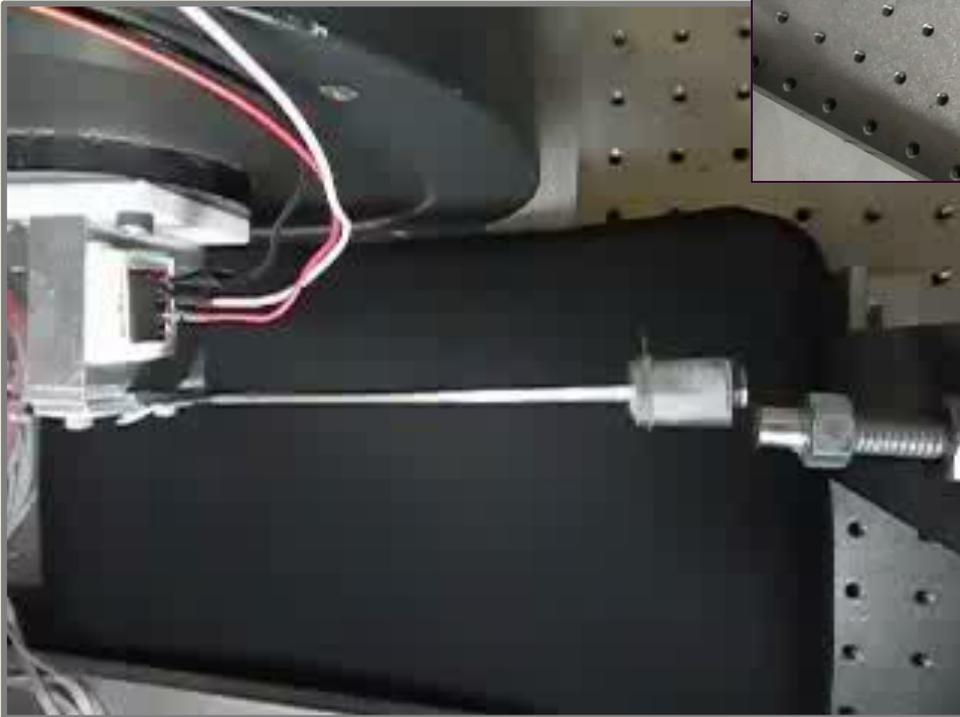
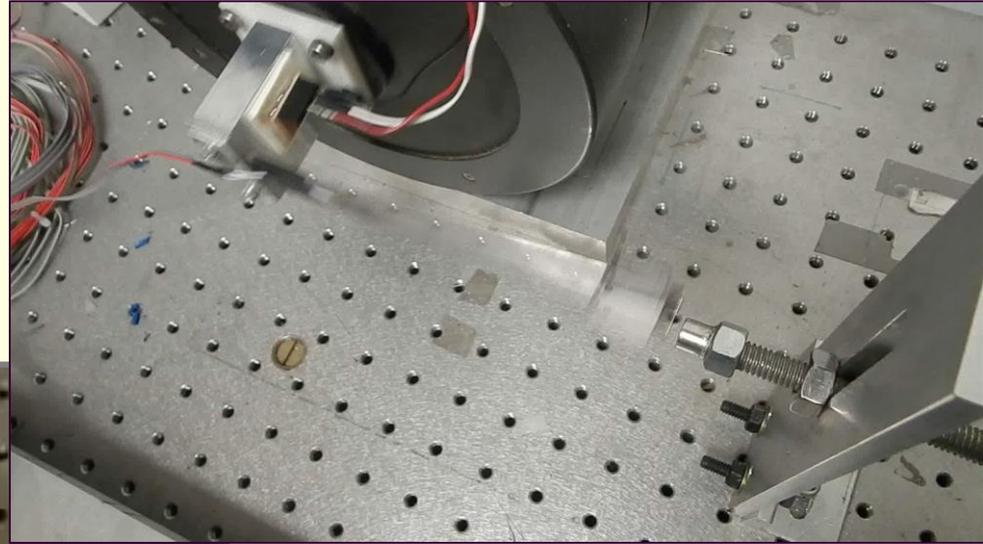
disturbance *control*

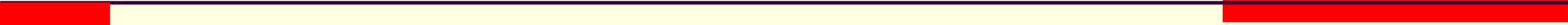
Experimental transient chaos

Duffing
oscillator



$$\ddot{x} + \delta\dot{x} + \alpha x + \beta x^3 = F \cos(\omega t)$$





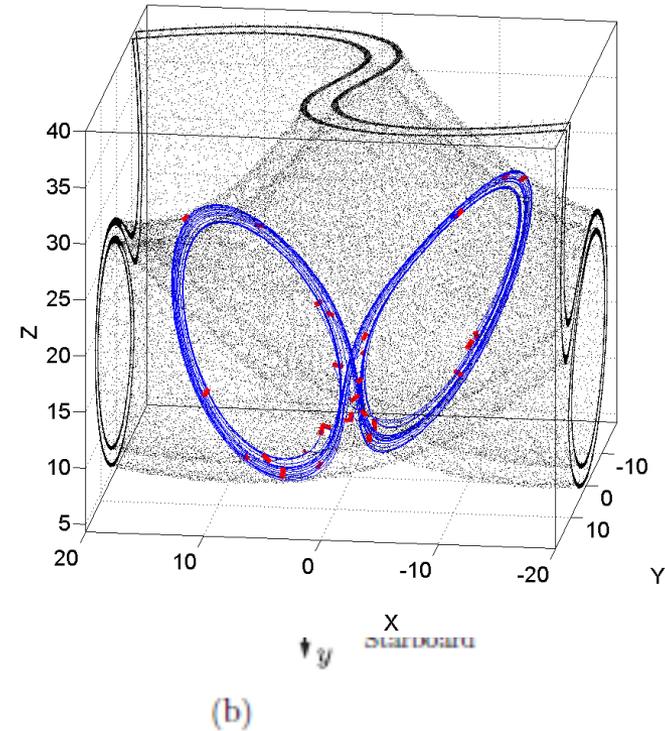
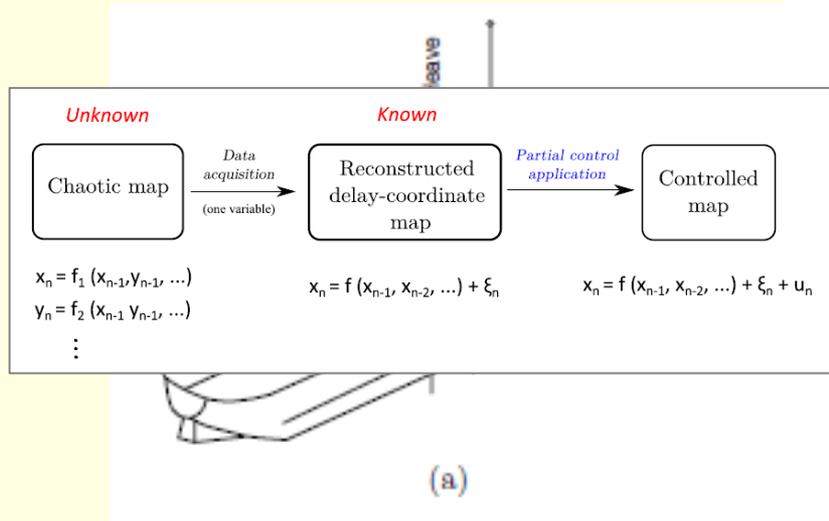
**Thank you all for your
attention.**

Maryland

Partial control of a ship capsizing model

$$\dot{\phi} = \omega$$

$$\dot{\omega} = -\frac{dV}{d\phi} - \bar{b}_1\omega - \bar{b}_2|\omega|\omega + \bar{H}\sin(\bar{\omega}t)$$



Shibabrat Naik and Shane D. Ross. Geometric approaches in Phase Space Transport and Partial Control of Escaping Dynamics. PhD Thesis. Virginia Tech University.